

## Power Electronics

### **PWM inverters and rectifiers; ac-ac conversion; discontinuous modes**

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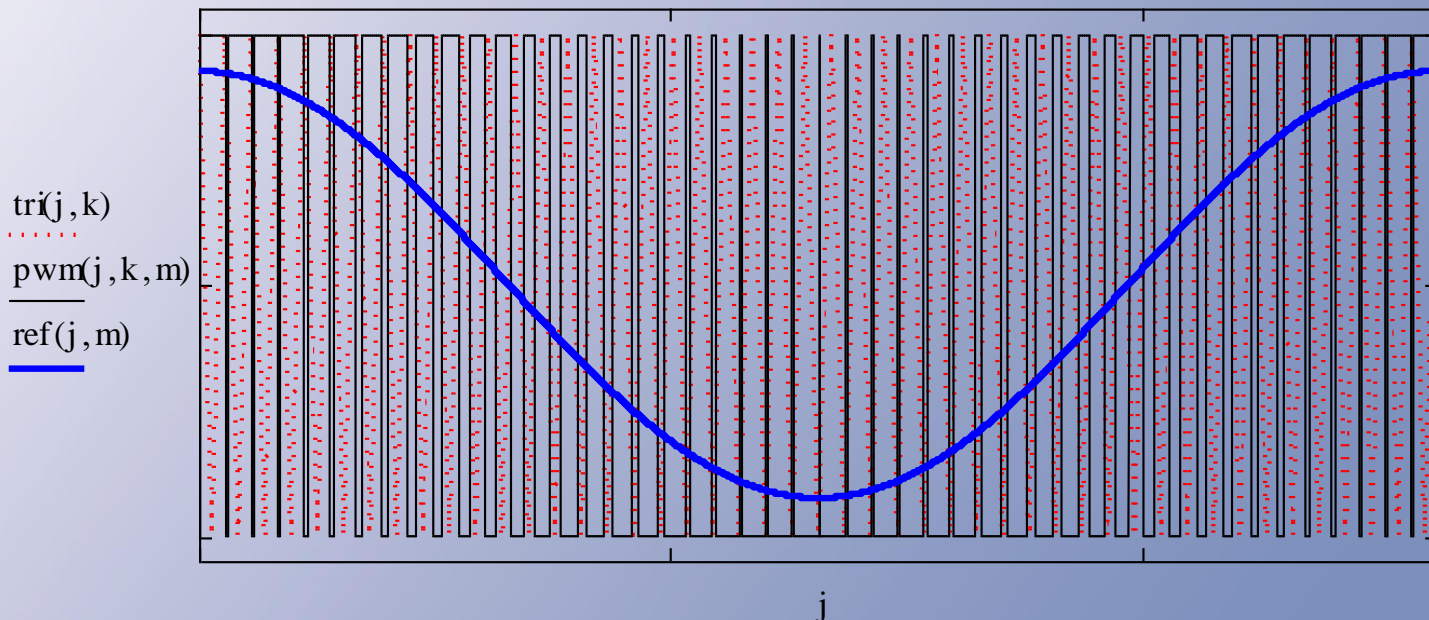
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## Duty Ratio -- Modulation

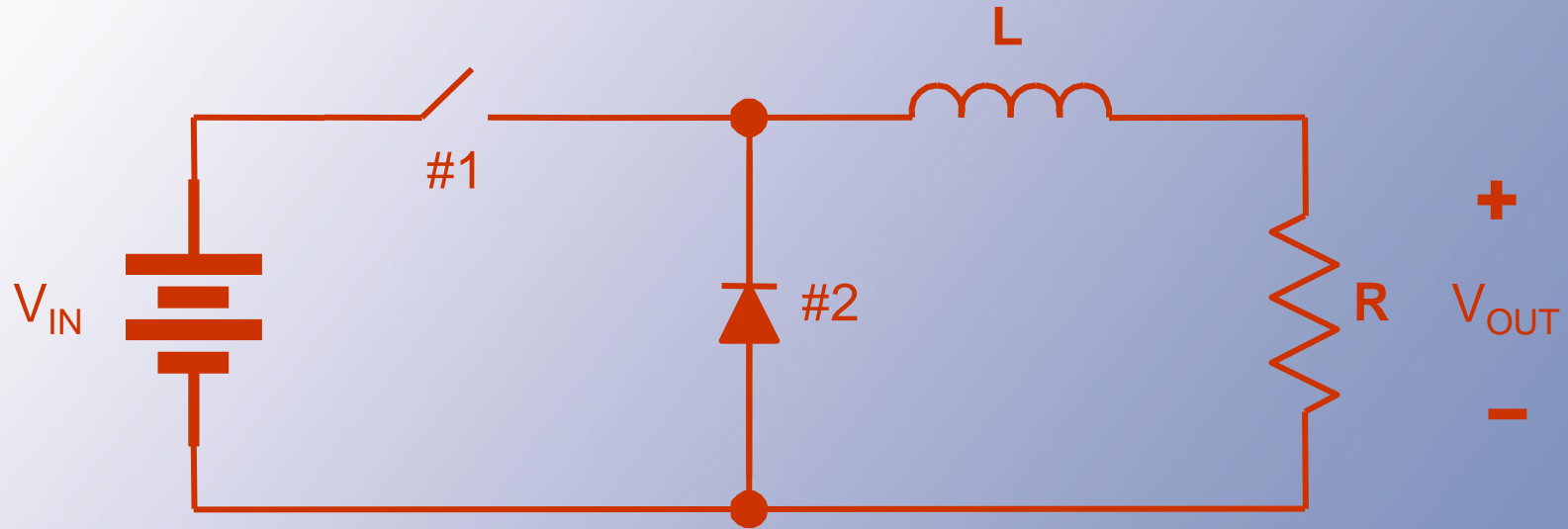
- Modulation  
In this case, we should be able to vary the duty ratio slowly.
- This is PWM.



## How Does it Work?

- Imagine a buck converter, switching at 200 kHz, with 1% ripple.
- If we slowly adjust  $D$ , the average output is  $V_{\text{out}} = D v_{\text{in}}$ .
- What if  $D$  is a 1 Hz waveform, like  $D = 0.5 + 0.1 \cos(2\pi t)$ ?

## How Does it Work?



$f_{\text{switch}}$  at 200 kHz

Choose  $L$  for  $\pm 1\%$  ripple

Adjust  $D$  at 1 Hz

## PWM

- Then we expect the output to be very close to  $DV_{in}$ .
- Now, vary  $D$  more generally:  
 $d(t) = 0.5 + 0.5 k m(t)$
- $k$  is a constant between 0 and 1.
- $m(t)$  is any time waveform between -1 and +1.

## PWM

$$D(t) = 0.5 + 0.5 k m(t)$$

$k$  is “gain”,  $0 \leq k \leq 1$

$m(t)$  is an arbitrary time function between  $-1$  and  $+1$

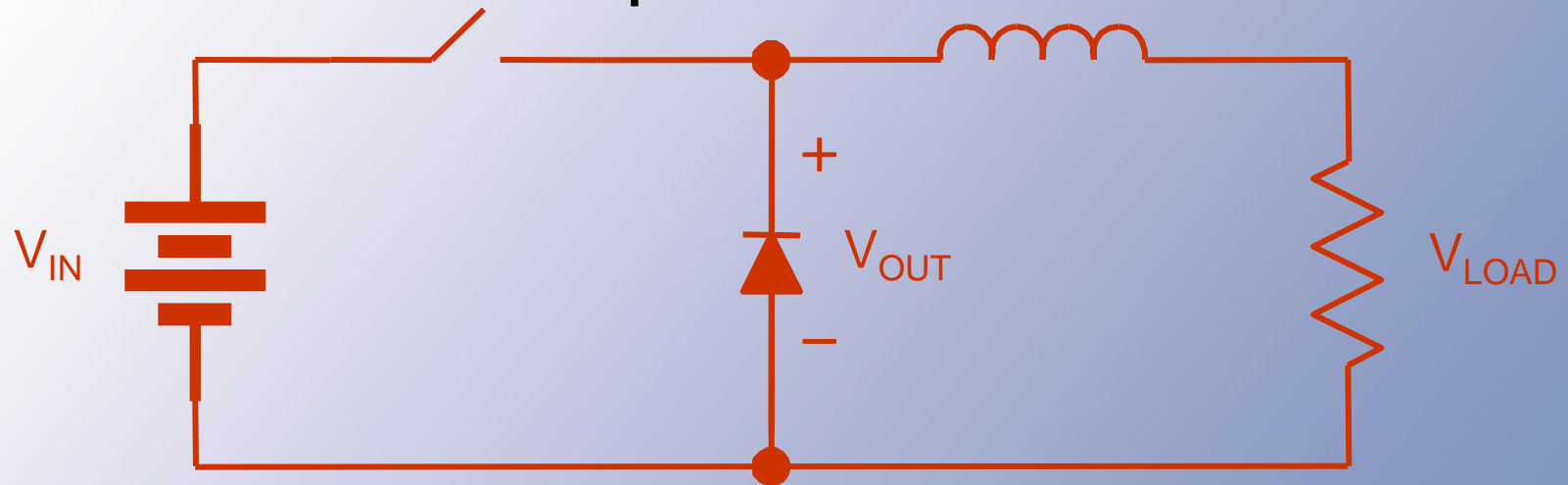
$$V_{\text{out}}(t) = d(t) V_{\text{in}}$$

This is a *moving average*

## Output Series

- We can write the actual switch matrix output,  
 $V_{\text{out}} = q(t) V_{\text{in}}$ .
- This gives a useful Fourier series **if** the frequencies in  $m(t)$  are well below the switching frequency.

## Output Series



$$v_{OUT}(t) = q_1(t) v_{IN}$$

$$v_{OUT}(t) = \left[ d_1 + \frac{2}{\pi} \sum \frac{\sin(n\pi d_1)}{n} \cos(n\omega_{SWITCH} t) \right] V_{IN}$$

$$v_{OUT}(t) = d_1 V_{IN} + \frac{2v_{in}}{\pi} \sum \frac{\sin(n\pi d_1)}{n} \cos(n\omega_{SWITCH} t)$$

$$\text{Let } d_1 = 0.5 + 0.5 k_m(t)$$



## Output Series

$$v_{OUT}(t) = 0.5V_{IN} + 0.5k m(t)V_{IN} + \frac{2V_{in}}{\pi} \sum \frac{\sin[n\pi \frac{1}{2} + \frac{1}{2} k m(t)]}{n} \cos(n\omega_{SWITCH}t)$$

- This is not in the form of a Fourier Series, since there is a term  $\sin[m(t)]$ .

## Output Series

If  $m(t) = \cos(\omega_{\text{OUT}}t)$ ,

Then terms are

$$\sin\left(\frac{n\pi}{2} k \cos(\omega_{\text{OUT}}t)\right) \cos(n\omega_{\text{SWITCH}}t)$$

Bessel functions provide a way to reduce it

$$\sin(a \cos(\omega_{\text{out}}t)) \rightarrow \sum \pm 2J_m(a) \cos(m\omega_{\text{out}}t)$$

(for odd values of  $m$ )

## Output Series

- This means the first part becomes a set of terms in multiples of the output frequency.
- Now, we have terms like  
 $( ) \cos(m \omega_{\text{out}} t) \cos(n \omega_{\text{switch}} t)$
- Trig identities give terms  
 $( ) \cos[(n \omega_{\text{switch}} \pm m \omega_{\text{out}})t]$

## Output Series

The Fourier terms include:

$$\text{dc} + kV_{\text{in}}/2 \cos(\omega_{\text{OUT}}t) \\ + 2V_{\text{in}}/\pi \sum ( ) \cos \left[ (n\omega_{\text{SWITCH}} \pm m\omega_{\text{OUT}})t \right]$$

If  $\omega_{\text{switch}} \gg \omega_{\text{out}}$ , we can filter out the series (low-pass), and are left with dc and  $kV_{\text{in}}/2 \cos(\omega_{\text{out}}t)$

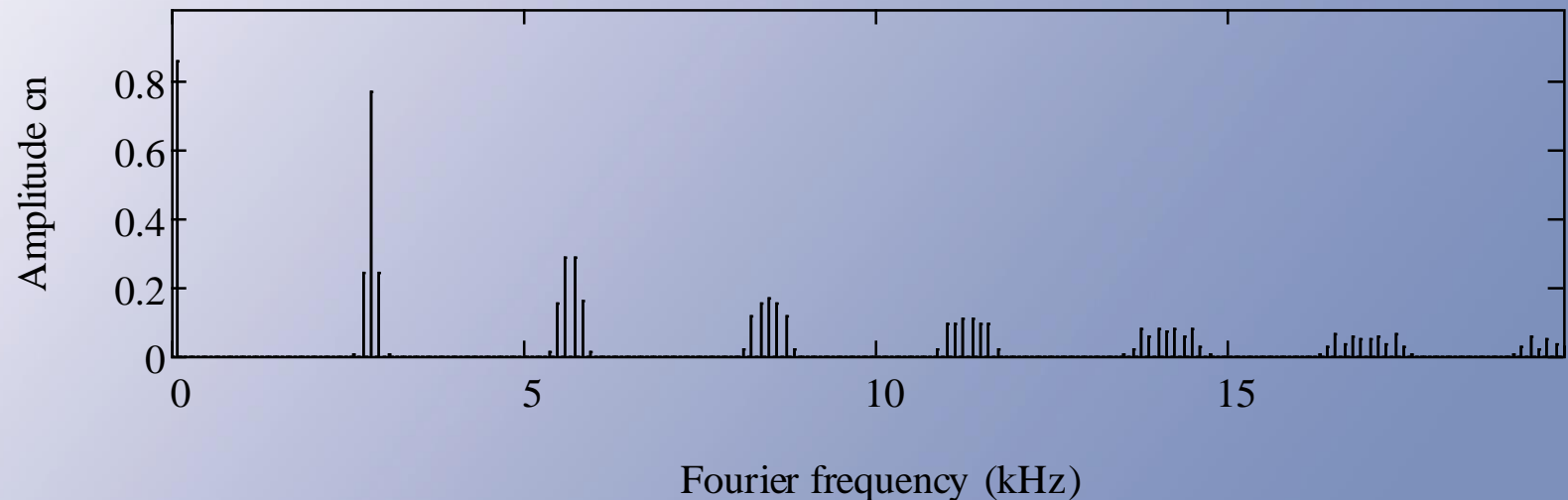
## Output Series

- Example:
- Switch at 200 kHz  
m(t) at 60 Hz
- We get dc, then 60 Hz, then  
 $n \cdot 200 \text{ kHz} \pm n \cdot 60 \text{ Hz}$
- Summary: 0 Hz, 60 Hz, 199940 Hz, 200060 Hz, 199880 Hz, 200120 Hz, etc.
- Wide separation  $\rightarrow$  easy filtering

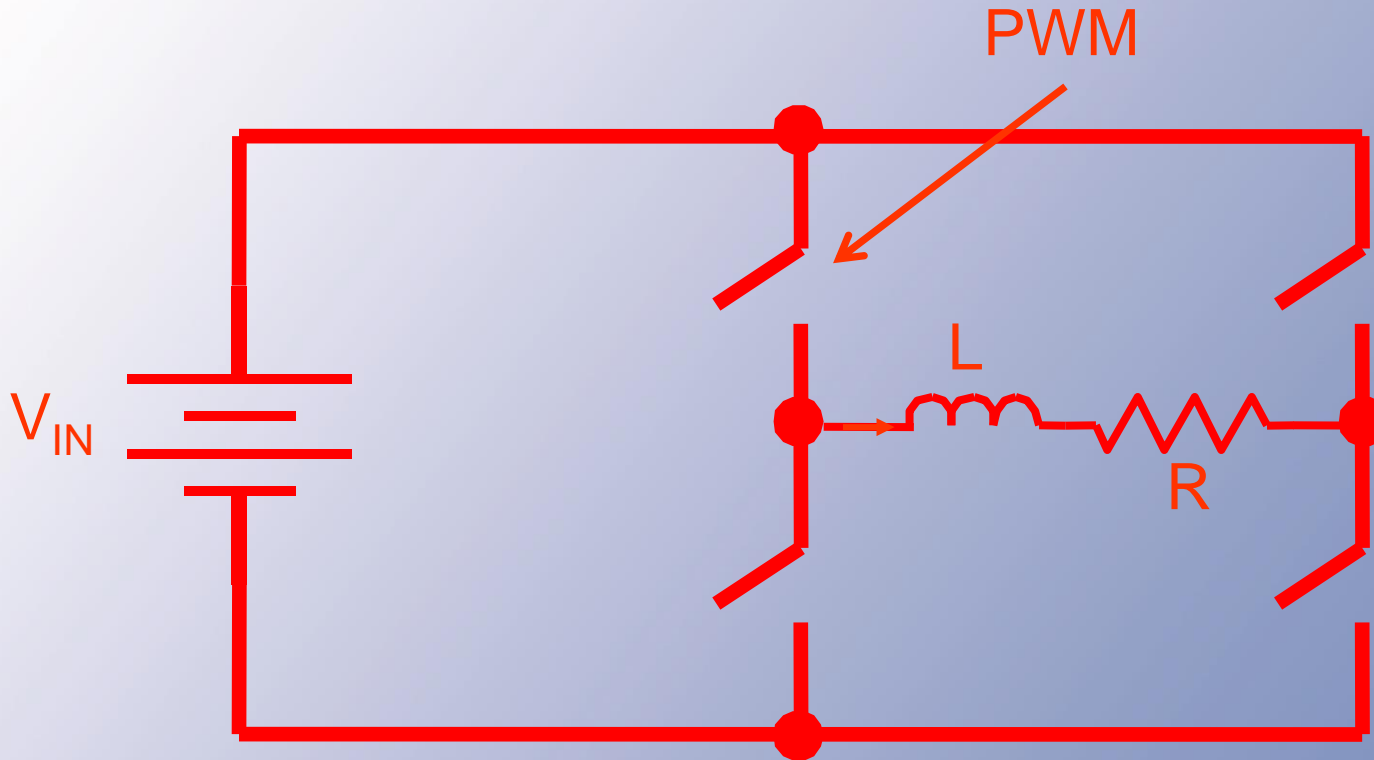


## Inverter

- $m(t) \rightarrow$  “Modulating function” with  $\omega_m$ .
- Switch much faster than  $\omega_m$ .
- Example, 60 Hz modulation, 2820 Hz switching.



## Inverter



$I_{OUT}(t)$  ?

## Inverter

- For two-level PWM, use  $\pm V_{in}$ .
- This requires  $q_{11} = q_{22}$ , so now  
 $v_{out} = (2q_{11} - 1) V_{in}$ .
- Let  $d_{11}(t) = 0.5 + 0.5 k \cos(\omega_{out}t)$ .
- Now  $v_{out} = kV_{in} \cos(\omega_{OUT}t)$   
 $+ \frac{2V_{in}}{\pi} \sum ( ) \cos[(n\omega_{SWITCH} \pm m\omega_{OUT})t]$
- No dc. Low pass filter to get  
 $kV_{in} \cos(\omega_{OUT}t)$



## Components

- The unwanted components are near multiples of the switching frequency.
- Filtering involves a simple low-pass operation.
- Fast switching = high quality.

## How to Create PWM?

- Presumably, we have a modulating function  $m(t)$ .
- This gives a voltage level as a function of time.
- We must convert it to a pulse width – a time value based on level.

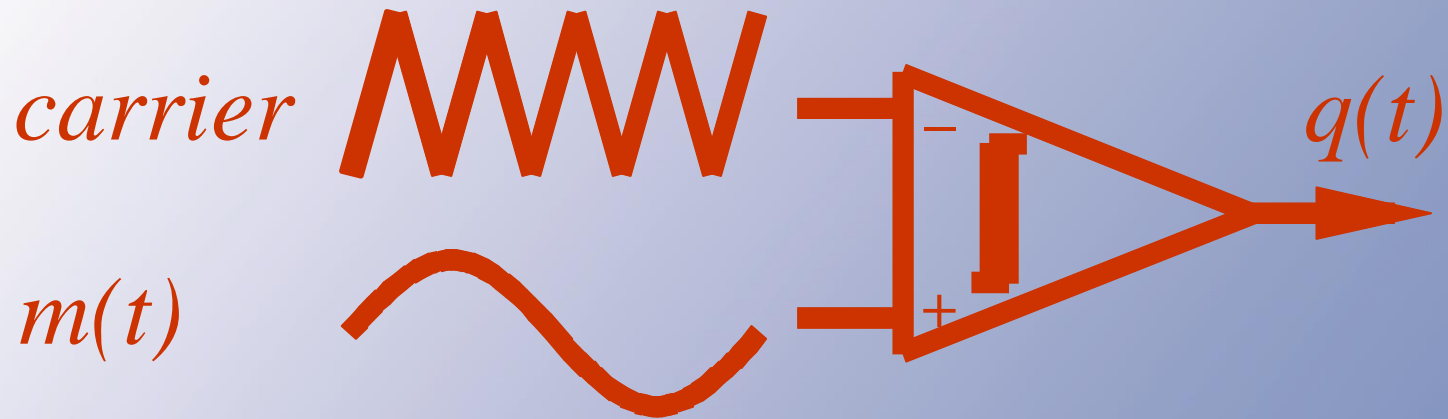
## Doing This

- A triangle has a linear value as a function of time.
- **PWM involves a comparison between a modulating function  $m(t)$  and a carrier function.**
- A triangle carrier gives a linear change from level to width.

## PWM Process

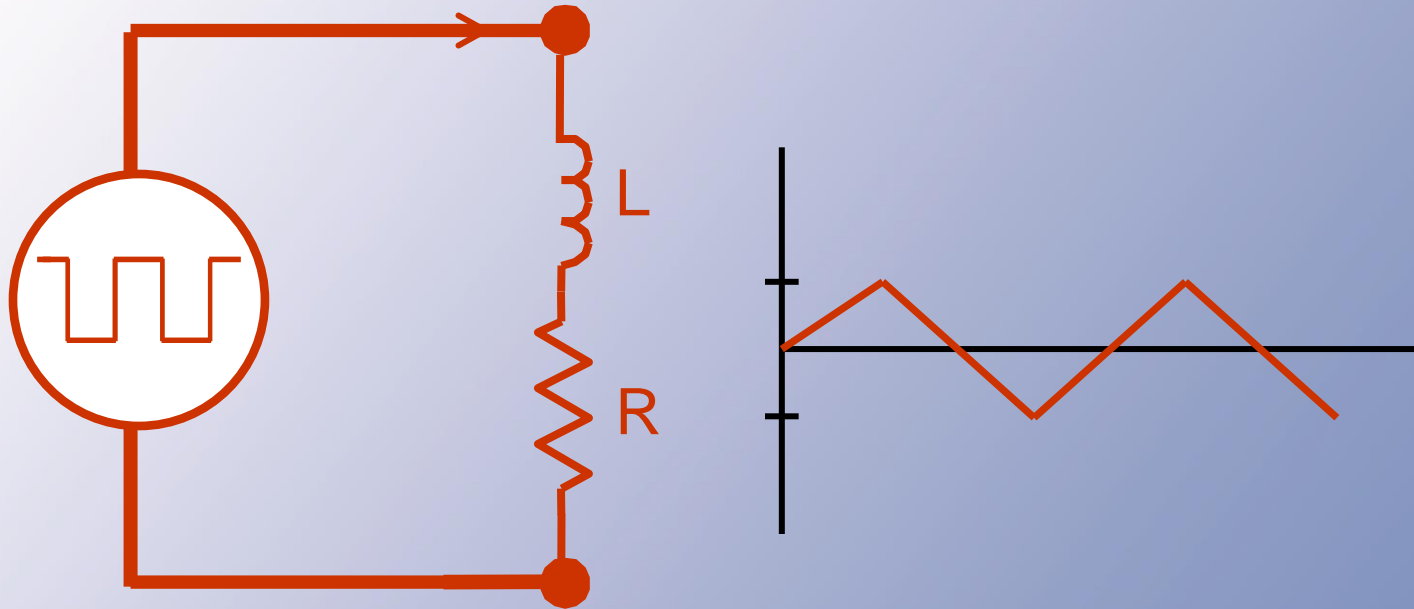
- It is actually very easy to create a triangle (at high frequency), then compare it to a desired function.
- If the carrier frequency is much higher than the modulating frequency, a successful PWM process results.
- The value  $k$  is called the *depth of modulation*.

## PWM Process



**OUT:** 1) HIGH if  $m(t) > \text{carrier}$   
2) LOW if  $m(t) < \text{carrier}$   
→  $q(t)$

## How to Create PWM?



$$\Delta i = ()$$

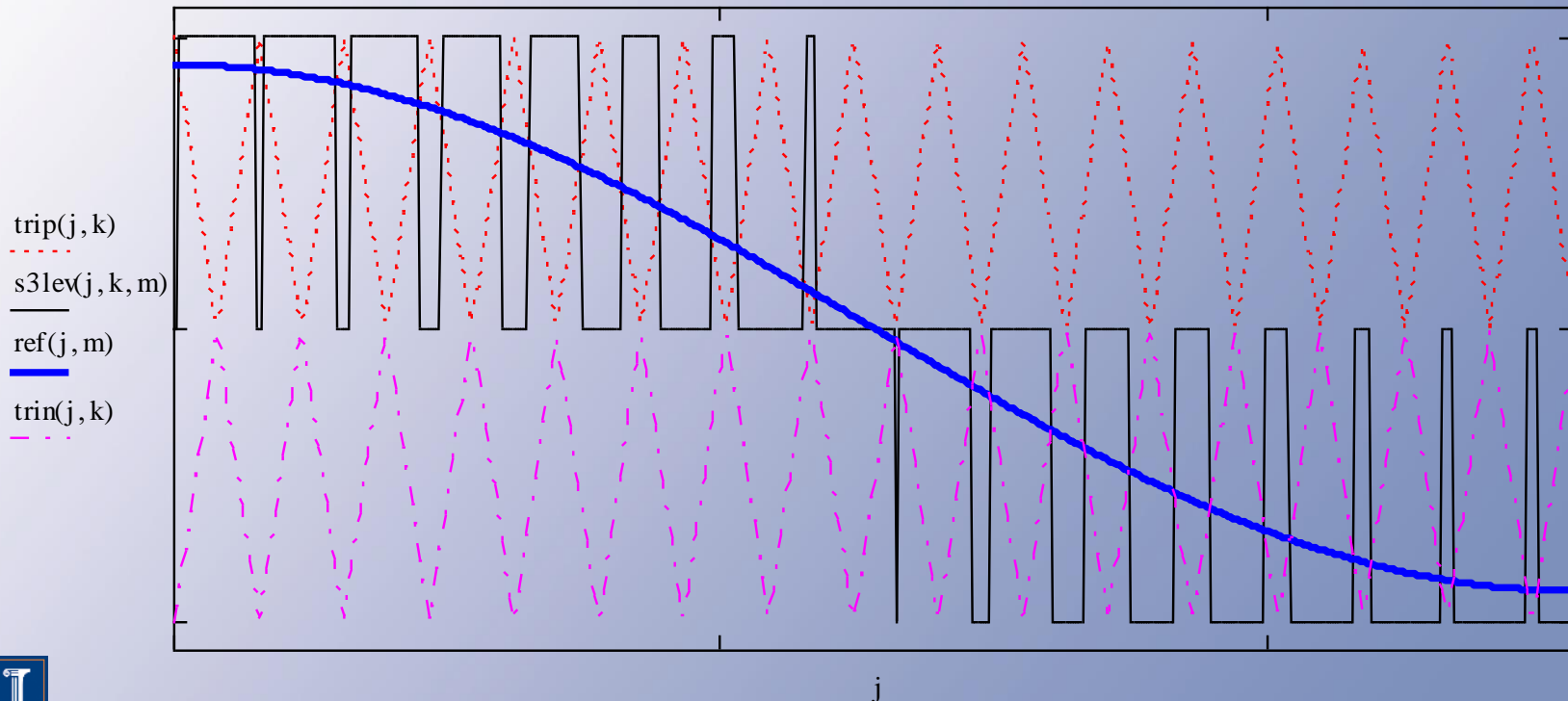
PWM, plus ripple on output current

## Multi-Level PWM

- We can also switch among other levels:  $\pm V_{in}$ ,  $0$ ,  $\pm V_{in}/2$ , etc.
- The case with zero is “three-level PWM.”
- Some people use five-level and even seven-level PWM, sometimes more.

## 3-Level PWM

- Switch between 0 and  $+V_{in}$  when  $m(t) > 0$
- Switch between 0 and  $-V_{in}$  when  $m(t) < 0$





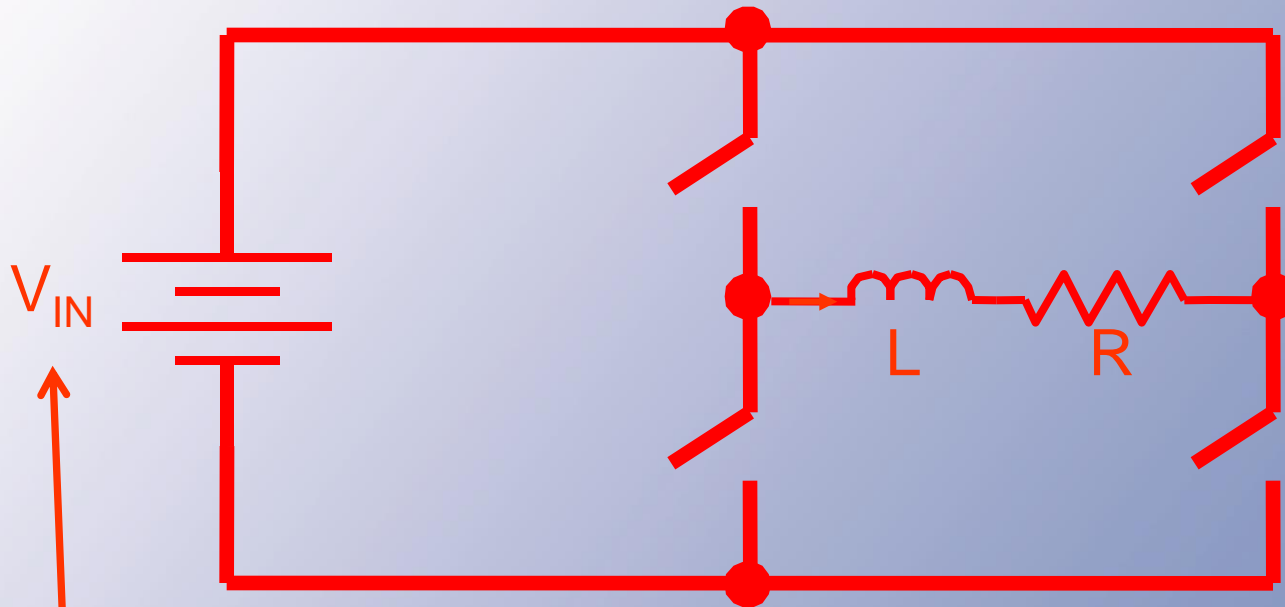
## PWM Examples

- Consider a high-quality backup power application.
- We desire  $120\text{ V}_{\text{RMS}}$  at 60 Hz into loads from 5 W to 500 W. The ripple around the nominal current sine wave should not exceed  $\pm 10\text{ mA}$ .

## PWM Example

- First, what bus voltage is needed?
- Since  $120 V_{\text{RMS}}$  corresponds to  $170 V_{\text{peak}}$ , we need at least  $170 V$  at the input.
- This could come from a rectifier or from a backup battery set.

## PWM Example

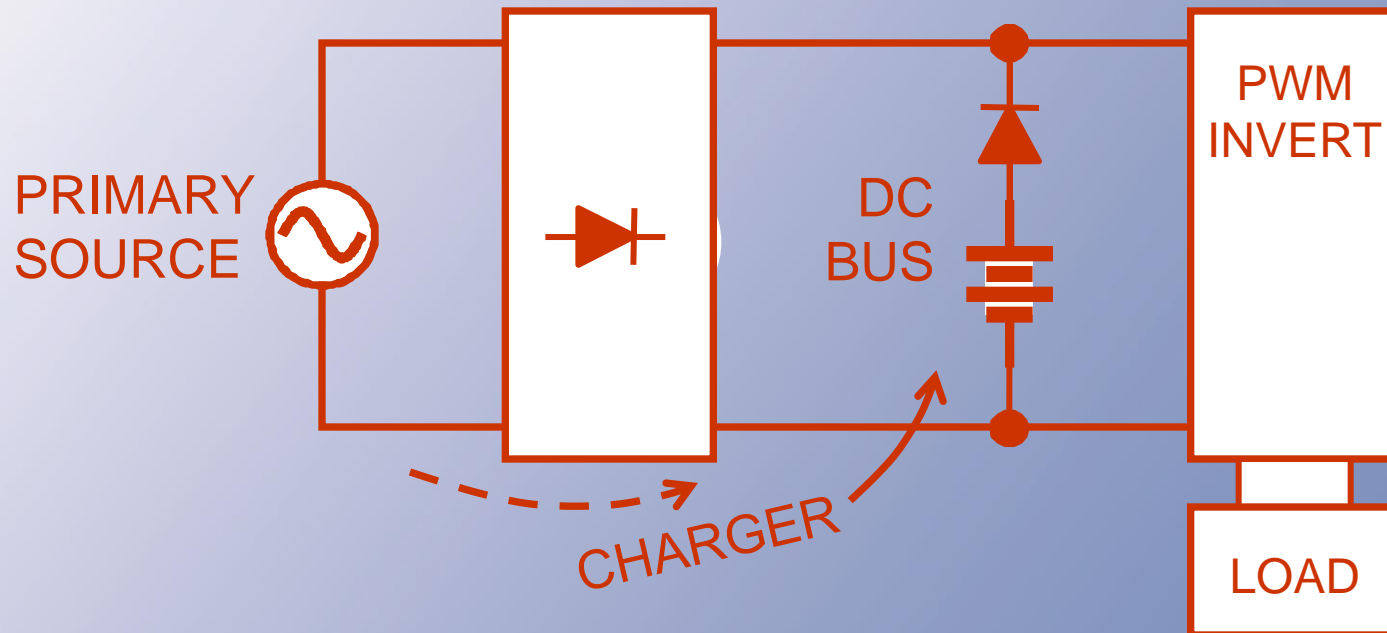


$120 \text{ V}_{\text{RMS}} \rightarrow 170 \text{ V peak}$

$V_{in} \sim 170 \text{ V}$

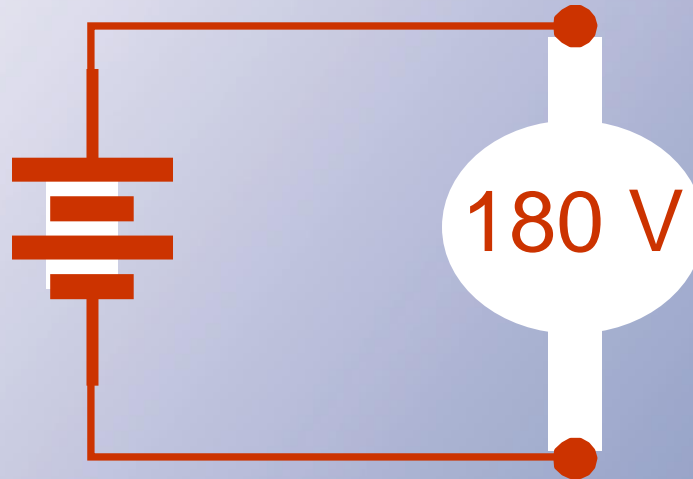
## Backup power methods

1. Standby U. P. S.  
UPS → uninterruptible power supply
2. On-line U. P. S.



## Backup power methods

### 3. Rectified input or battery input



## PWM Example

- At this power level, it is reasonable to switch at 20 kHz or more. Let us choose 40 kHz (rather arbitrary).
- Depth of modulation is 100% for rectifier input, and about 94% for a 180 V battery.

## PWM Example

$f_{\text{SWITCH}}$  ?

5 W to 500 W

$f_{\text{SWITCH}} \sim 20 \text{ kHz to } 100 \text{ kHz}$

Choose  $\sim 40 \text{ kHz}$

$$m(t) = k \cos(120\pi t)$$

$$v_{\text{OUT}} \approx k v_{\text{in}} \cos(120\pi t)$$

## PWM Example

$f_{\text{SWITCH}}$  ?

$$V_{\text{in}} \sim 170 \text{ V}$$

$$k \sim 1$$

100% depth of modulation

$$V_{\text{in}} \sim 180 \text{ V}$$

$$k \sim 0.94$$

94% depth of modulation





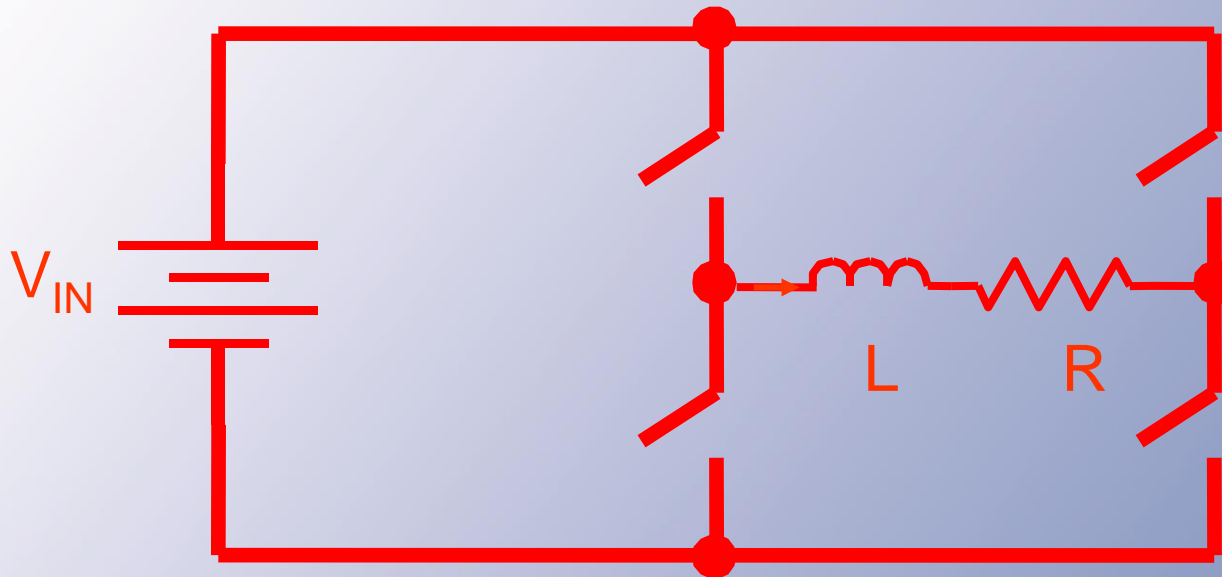
## Ripple

- To check ripple, consider the 0 modulation case.  
→ Then the signals are all ripple.
- A square wave (180 V peak) is imposed on an L-R circuit.
- The average output is intended to be zero.
- Thus  $v_L = L di/dt$ ,  $180 V = L \Delta i/\Delta t$ .

## Ripple Inductor

- The period is 25  $\mu\text{s}$ , so 180 V is exposed to the inductor for 12.5  $\mu\text{s}$ .
- We need  $\Delta i < 0.02 \text{ A}$ .
- $L > 0.113 \text{ H}$ .
- This is quite large, and we could benefit from a capacitor.

## Ripple Inductor



$\omega L \sim \text{near } 0\Omega \text{ at } 60 \text{ Hz}$

$\rightarrow \text{high at } 40 \text{ kHz}$

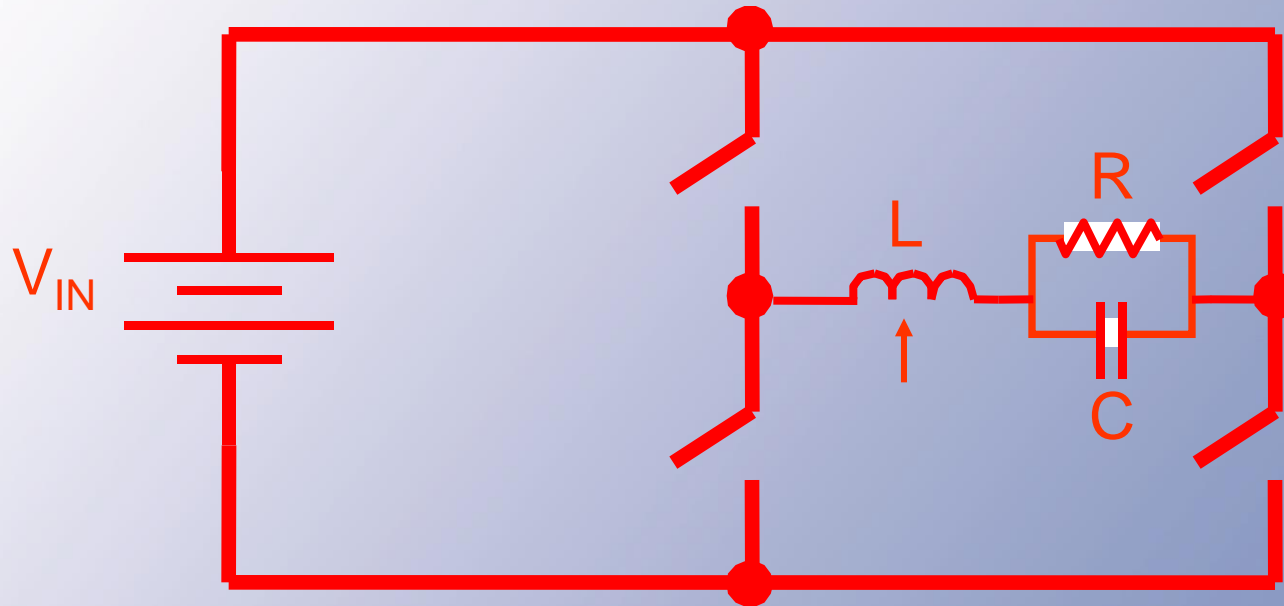
$0.113 \text{ H at } 60 \text{ Hz}$

$(120 \pi) (0.113) \sim 42 \Omega$

## More Detail

- Let  $L = 20 \text{ mH}$  instead.
- This gives  $\Delta i = 0.113 \text{ A}$ .
- A capacitor across the load will see this ripple current.
- The voltage ripple will be about  $T/(8C)$ , so  $40 \text{ }\mu\text{F}$  could drop the ripple enough.

## More Detail



$$C = 47\mu\text{F}$$

$$X_C = 1/(120\pi)(47\mu\text{f})$$

## Design Sequence

- Select input so the maximum desired output can be reached.
- Select a switching frequency. Typical:
  - If  $P_{out} > 10$  kW, the range today is 10-15 kHz.
  - If  $P_{out} > 1$  kW, the range today is 10-40 kHz.
  - At lower power levels, 20-100 kHz.
- Set the modulation index to zero, then design for ripple level.
- Be sure the filter has little effect at  $f_{out}$ .

## PWM Rectifiers

- We can always reverse the input and output source labels.
- This would become a rectifier application that involves dc voltage sources.
- The switches already handle ac current and dc voltage, so no change there.
- What if our “ac current source” is an ac voltage in series with  $L$ ?

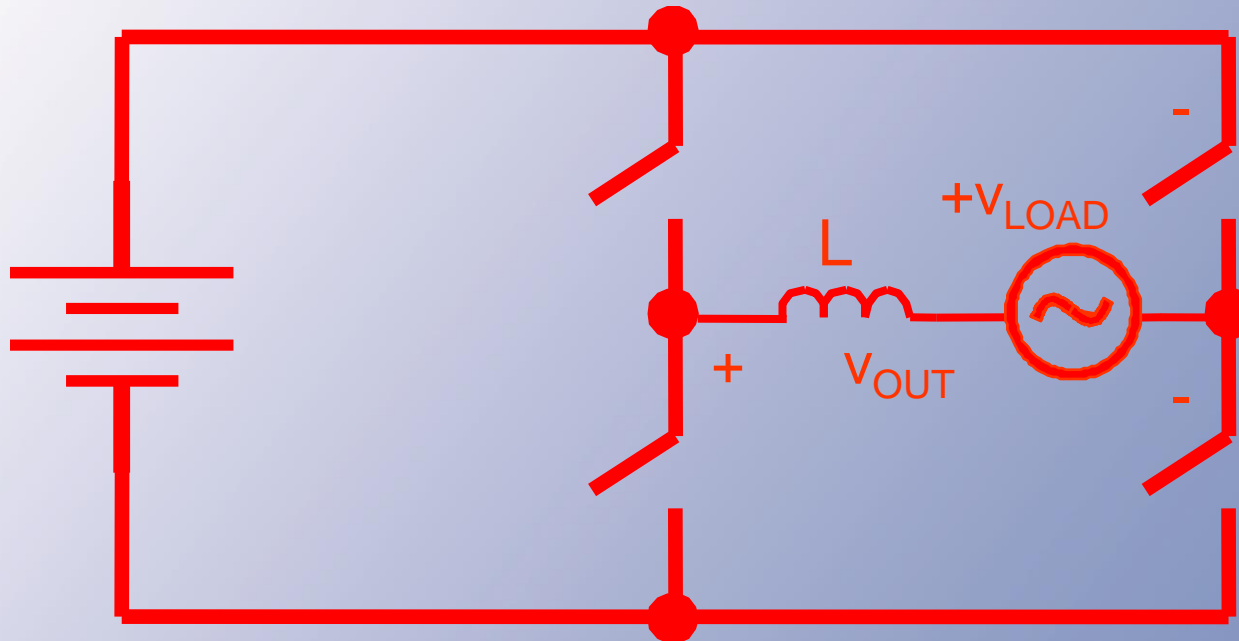
## PWM Rectifiers



PWM



# PWM Rectifiers



$$V_{OUT} = kV_{in} m(t) \text{ after filtering}$$

## PWM Rectifiers

- This is the basis of *PWM rectifiers*.
- In these circuits, the input current is controlled by PWM to be nearly sinusoidal.
- In fact, we should be able to modulate to follow any current.

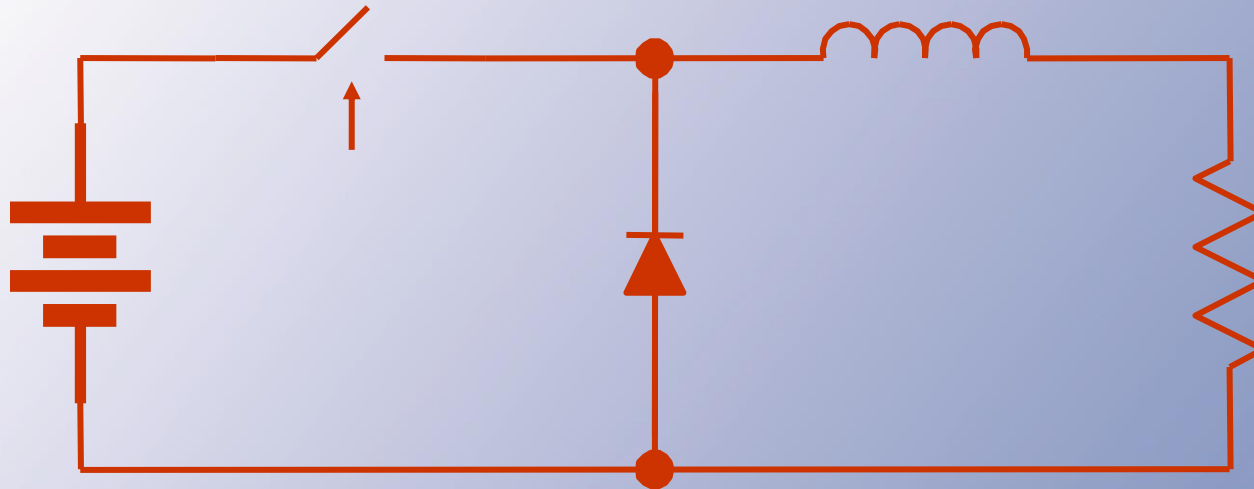
## PWM Rectifier Circuit

- Take a simple version in one quadrant.
- A full-wave voltage is imposed, through an inductor as the input to a “reversed buck” converter.
- This is just a boost converter.

## Boost Rectifier

- As long as the input waveform changes slowly, we can adjust the duty ratio to provide a given output.
- Recall that  $V_{in} = D_2 V_{out}$ .
- Now  $v_{in} = |V_0 \cos(\omega_{in} t)|$ .

## Boost Rectifier



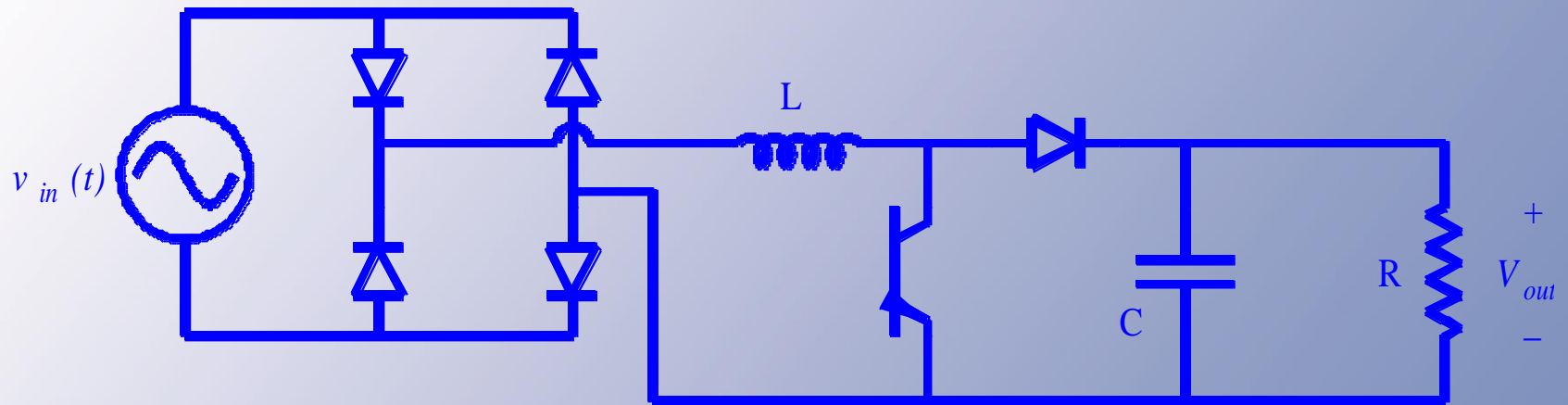
$$D \sim 1/2 + km(t)$$

$$D \sim |\cos(\omega t)|, \omega \text{ low}$$

0 to 100%

Switching is fast!

## Boost Rectifier



If  $V_{OUT} > V_{in \text{ peak}}$

$$V_{in_{\text{boost}}} \sim |V_0 \cos(\omega_{in} t)|$$

$V_{OUT}$  is fixed

## Boost Rectifier

Boost on average,

$$V_{in} = D_2 V_{OUT}$$

Set  $D_2$ , so that

$$d_2 = |V_0/V_{OUT} \cos(\omega_{in} t)|$$

## Boost Rectifier

- What if  $d_2 = V_0/V_{out} |\cos(\omega_{in} t)|$ ?
- Then the input properly matches the intended input voltage.
- What about the current? As in the PWM case, the input current should follow the modulating function.



## Boost Rectifier

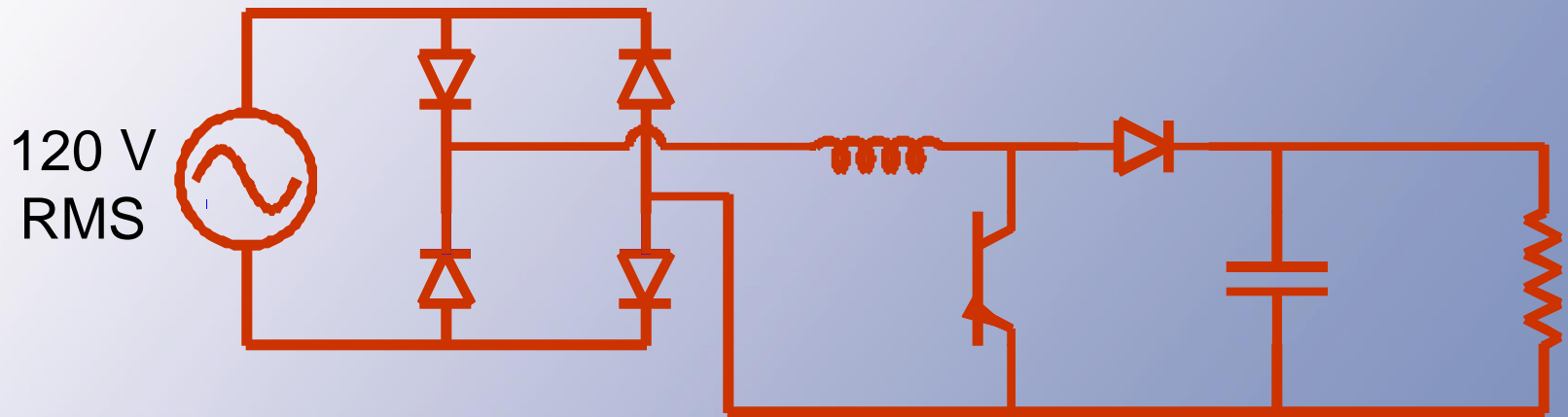
$$\underbrace{|V_0/V_{\text{OUT}} \cos(\omega_{\text{in}} t)|}_{\text{SLOW}} V_{\text{OUT}} = V_{\text{in}}$$

$$|V_0 \cos(\omega_{\text{in}} t)| \rightarrow V_{\text{in}}$$

Rectifier  $\rightarrow$  no filter

Switching  $\rightarrow$  FAST

## Boost Rectifier For instance:



→ DC-DC for output

Parts → small

## Boost Rectifier

### PWM Inverter

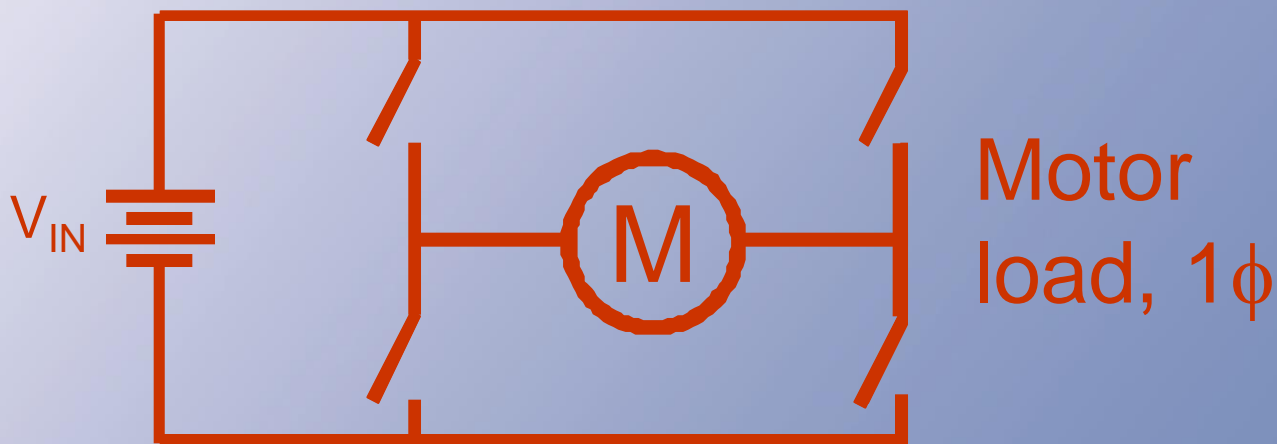
Output into an ideal  
current source

waveform  $m(t)$

## Inverter examples:

VSI with four alternative inputs:

1. Rectified 1 $\phi$  source 230V
2. Rectified 3 $\phi$  source 208V
3. Batteries
4. Solar panel source



## Inverter examples:

Motor:

230 V, 1 $\phi$ , 60 Hz, 5 HP

$$V_{VSI} \sim \frac{4V_{in}}{\pi} \cos(\delta/2) \cos(\omega_{SWITCH} t)$$

$$230 \text{ V} \rightarrow 230\sqrt{2} \sim 325 \text{ V}$$

$$208 \text{ V} \rightarrow 208\sqrt{2} \sim 295 \text{ V}$$

## Inverter examples:

$$V_{\text{bat}} \sim 300 \text{ V } (25 \times 12 \text{ V } )$$

$$V_{\text{solar}} \sim 300 \text{ V } (600 \text{ cells } \times 0.5 \text{ V/cell } )$$

$$4V_{\text{in}}/\pi \cos (\delta/2) = 230\sqrt{2}$$

Want an output of 230 V RMS  
(325 V peak)

## VSI Example

- Source                      Delta  
230 V ac                      76°  
208 V ac                      60°  
300 V dc                      63°
- In general, any bus potential down to 255 V can be supported.
- For 208 V 3 $\phi$  with filter, bus is 243 V, and  $\delta = 0$  gives 219 V RMS (works).
- That extra 27% is quite useful.

## Ac Regulators

- A true ac-ac converter gathers energy at one frequency and delivers it at another.
- The actual most common “ac-ac converters” are only partial in the senses we usually use.



## Ac Regulators

- We might want to control energy flow without frequency change.
- An *ac regulator* is a converter that manipulates energy flow between a source and load in a single-frequency ac system.

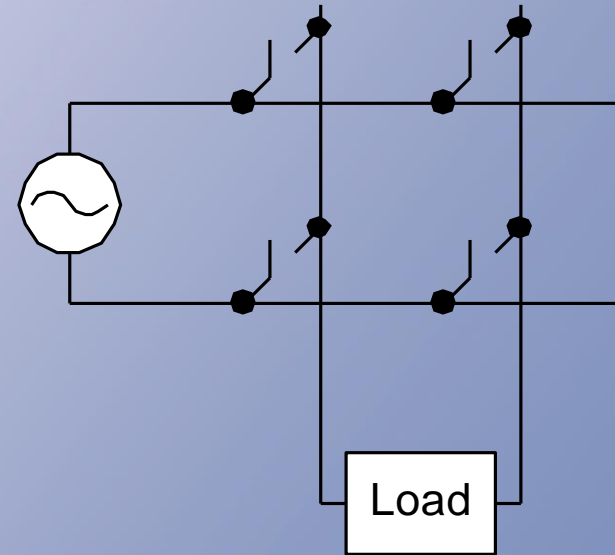
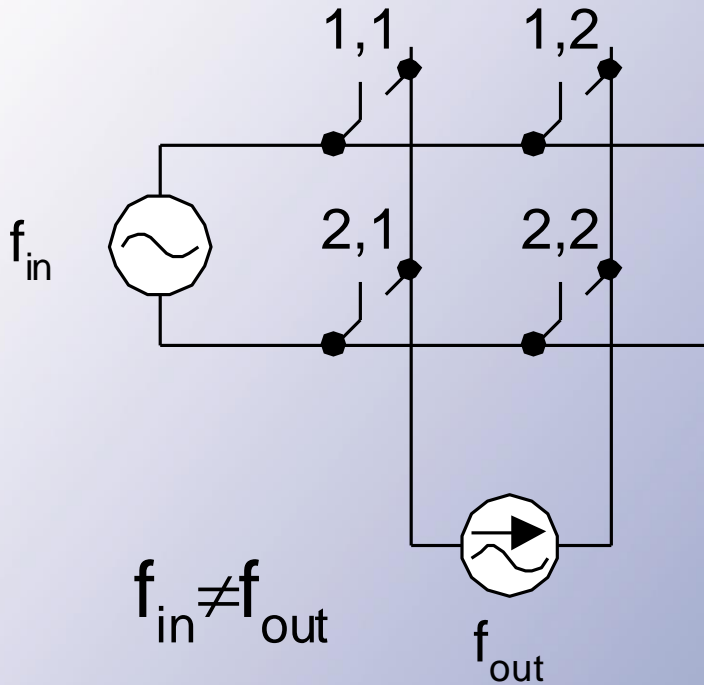
## Applications

- Applications include incandescent light dimmers, heater controls, microwave ovens, hand tools, and some motor starters.
- Most ac regulators rely on a resistive load, or maybe a very slightly inductive load.

## Ac Regulators

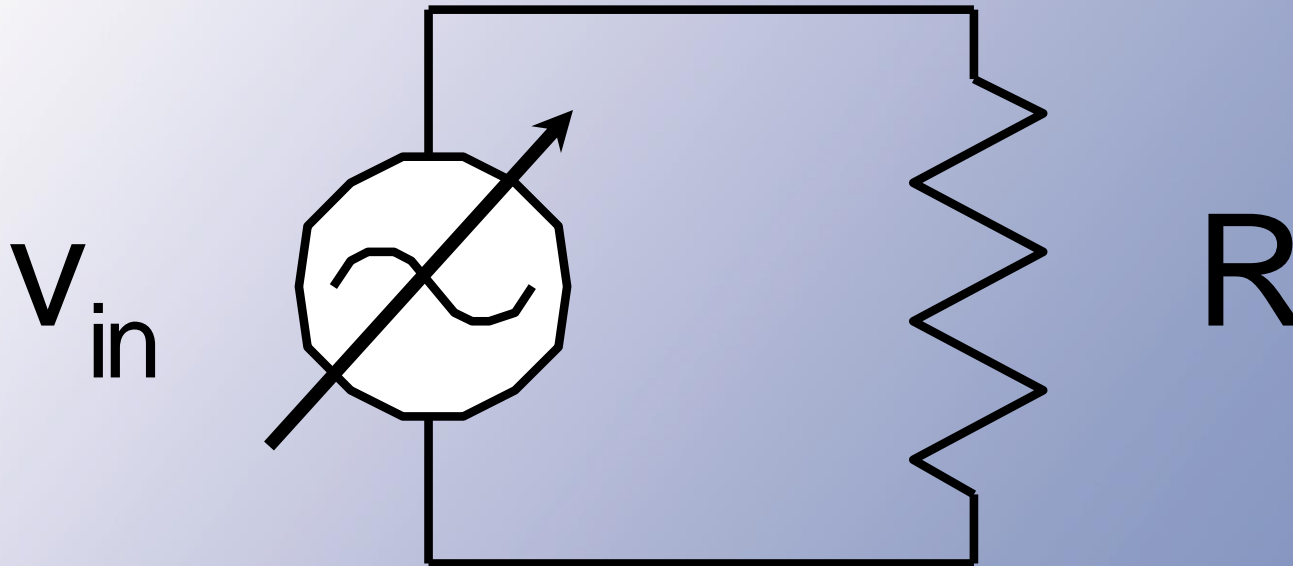
General ac-ac converter

More basic ac regulator function



Adjust P

## Ac Regulators



Resistive version

## Ac Regulators

- Power:  $\langle P \rangle = \langle v(t) i(t) \rangle$
- For a resistive load:  $\langle P \rangle = \langle v(t) v(t)/R \rangle$  and  $\langle P \rangle = (1/R) \langle v(t)^2 \rangle$ .
- Recall that the RMS value is

$$V_{ms} = \sqrt{\frac{1}{T} \int_0^T v^2(t) dt}$$

- So  $\langle P \rangle = (1/R) (v_{RMS}^2)$

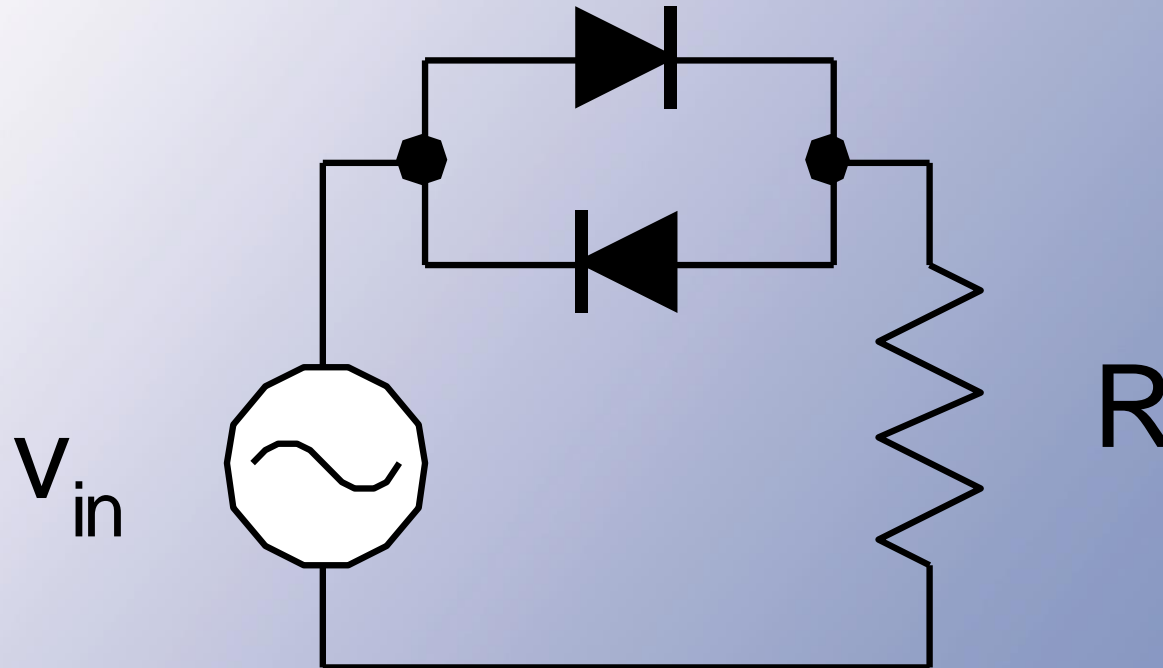
## Circuits and Cases

- If a load is resistive, we can vary the power by altering the connection time.
- Resistive loads make this easy and predictable with SCRs.
- Slightly inductive loads can be handled, but less predictably.

## Resistive Loads

- *In this case, there is no single wanted component.*
- All harmonics deliver energy into a resistor.

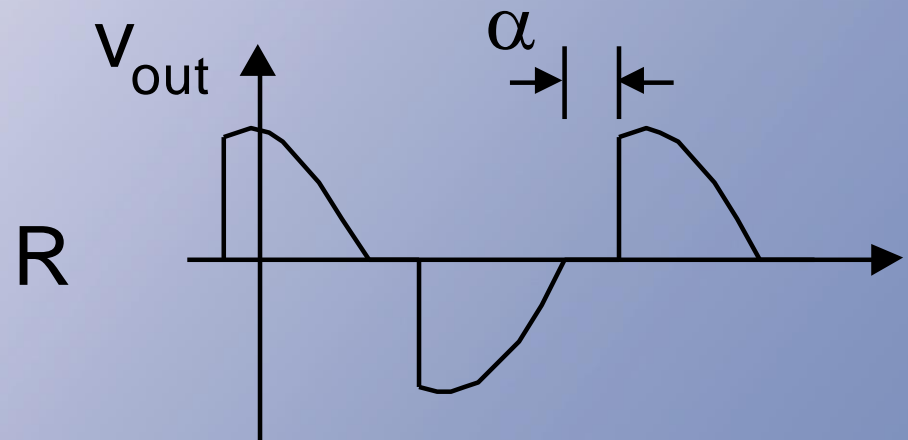
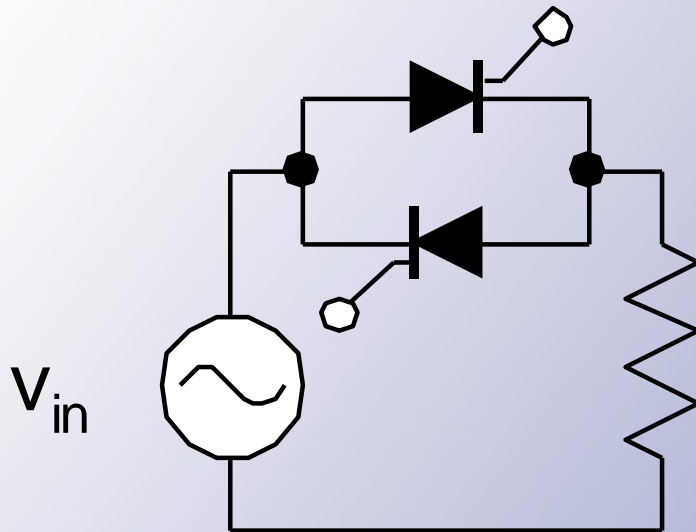
## Concept



With ideal diodes, there is no change in power flow (no turn-on delay).



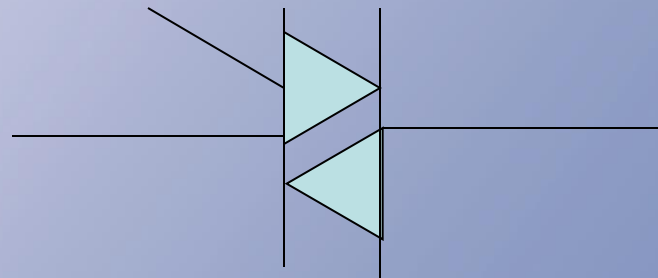
## Basic Regulator



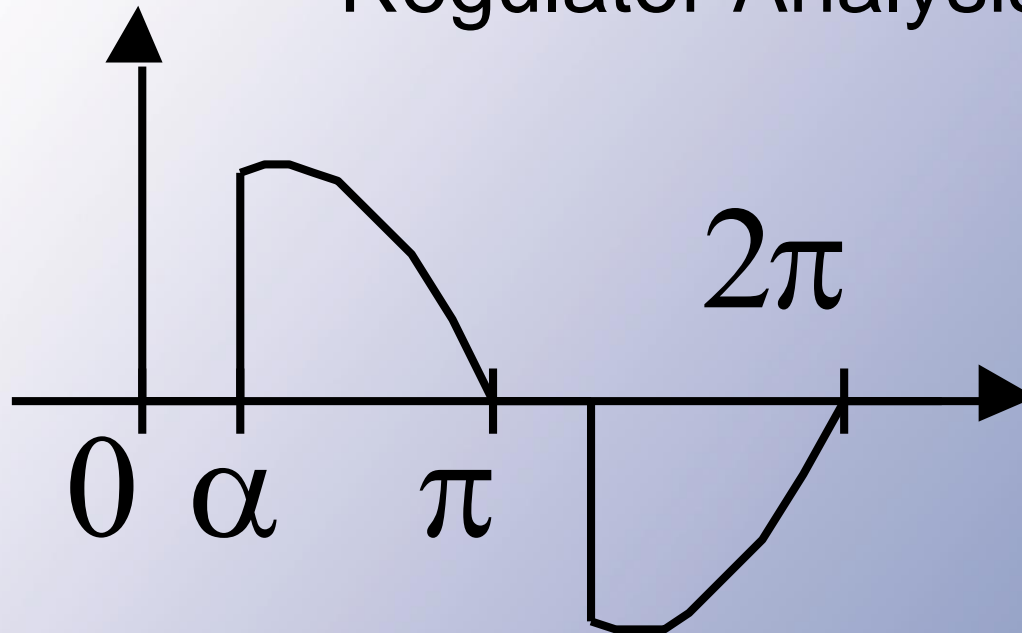
- Use SCRs instead. Now a delay angle can be added. Power decreases with delay.

## Regulator Analysis

- We define a delay angle  $\alpha$ , based on diode waveforms as  $\alpha=0$ .
- With a resistive load, turn-off occurs at a later zero crossing.
- Alternative device: the *triac* acts as reverse-parallel SCRs with a single gate. Good for ac regulators.



## Regulator Analysis



- $\langle P \rangle = v_{RMS}^2/R$ . The RMS voltage is

$$v_{RMS} = \sqrt{\frac{1}{\pi} \int_{\alpha}^{\pi} V_0^2 \sin^2 \theta d\theta}$$

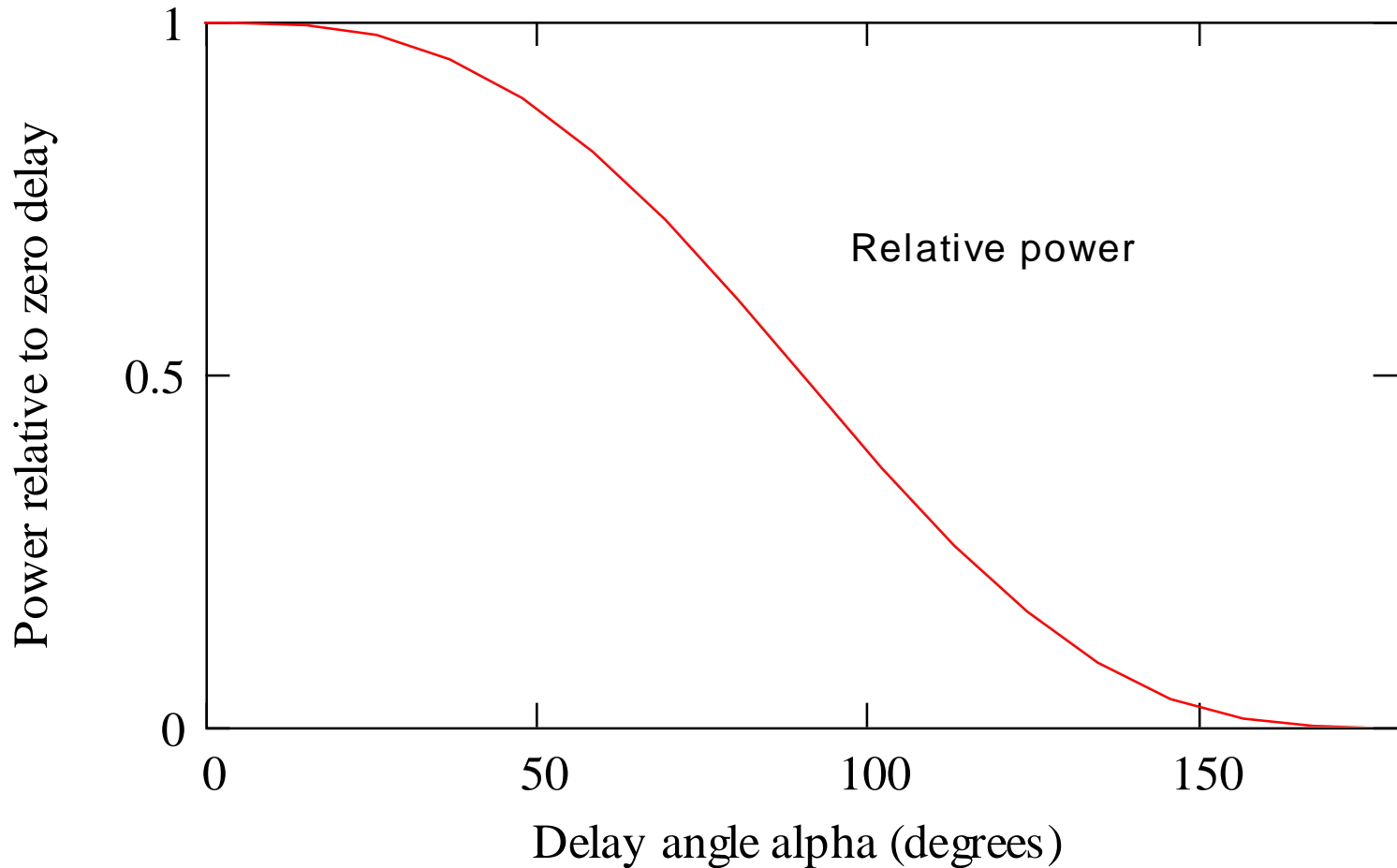
## Regulator Analysis

- This integral yields

$$V_{RMS} = V_0 \sqrt{\frac{1}{2} - \frac{\alpha}{2\pi} + \frac{\sin 2\alpha}{4\pi}}$$

- The average power is the square of this divided by R.
- The valid range is  $0^\circ \leq \alpha < 180^\circ$

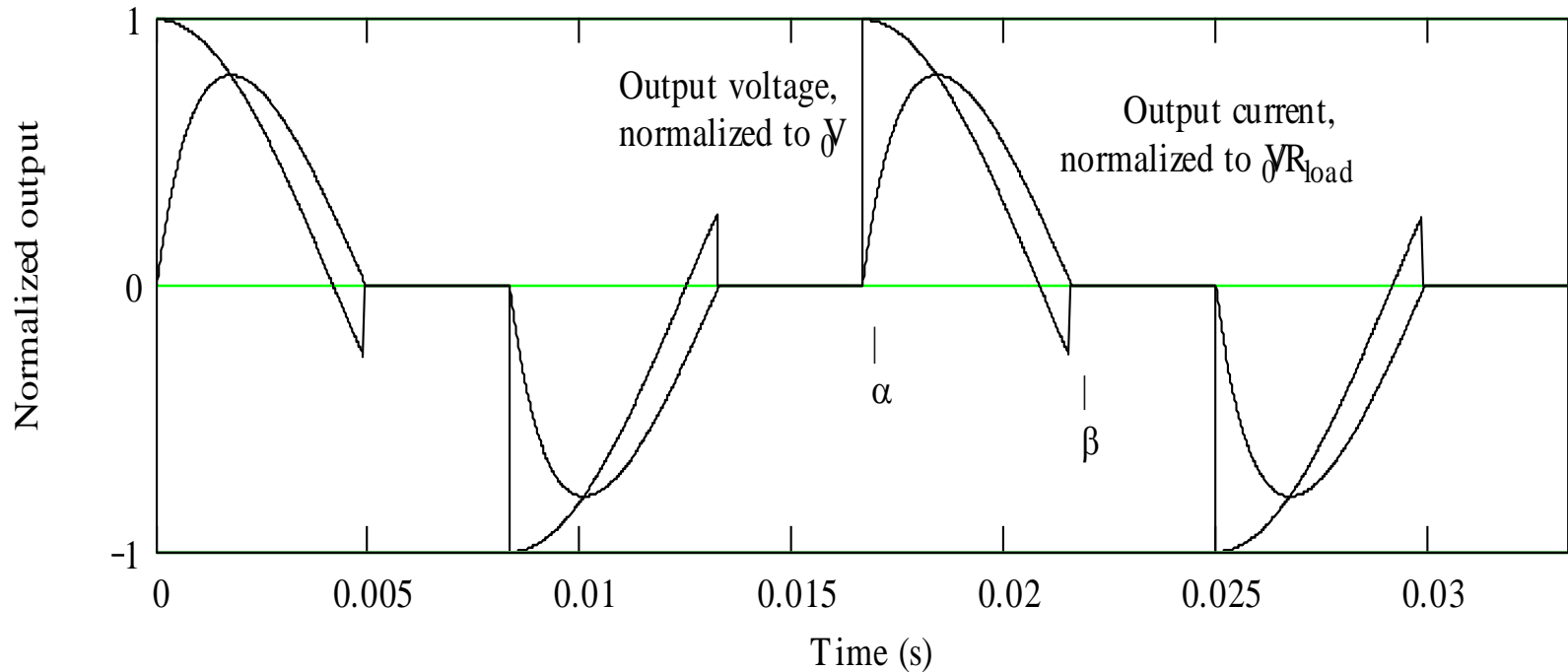
## Regulator Analysis



## Inductive Loading

- When the load is inductive, turn-off is delayed.
- Turn-off occurs when current reaches zero, which will be delayed from the voltage zero.
- The power depends on  $L$ .
- Turn-off angle shown as  $\beta$ .

## Regulator Analysis with L



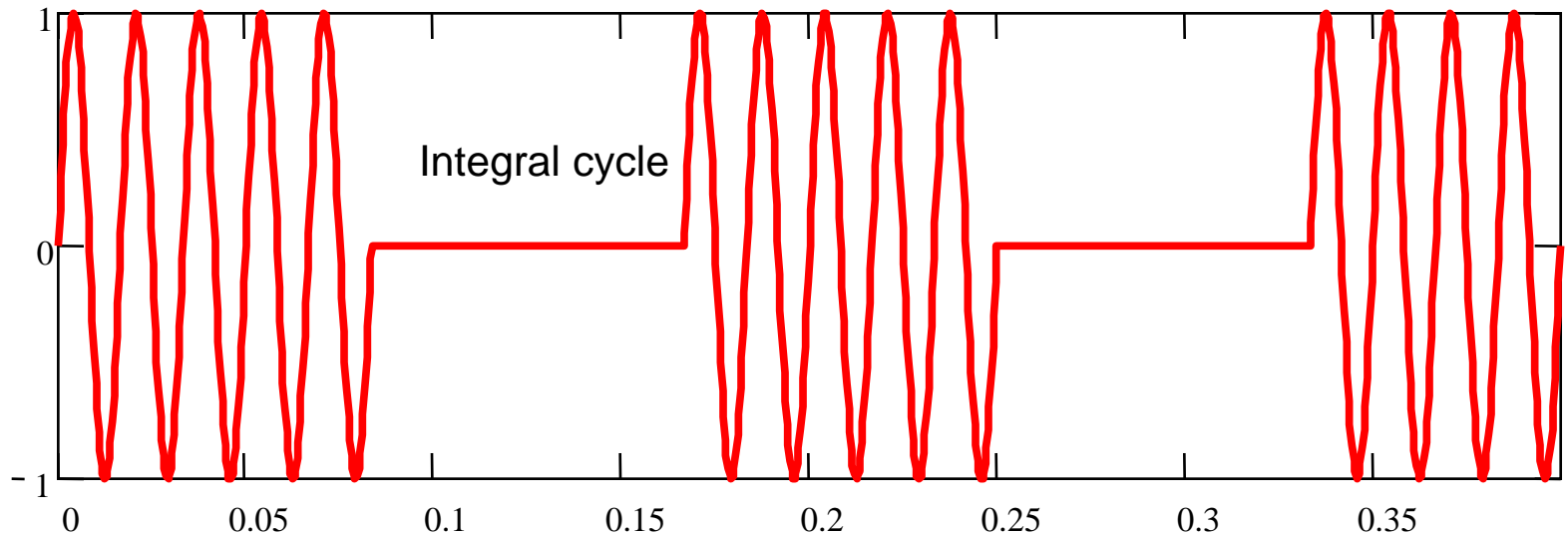
- The power will be lower than for the same resistor alone.

## Integral Cycle Control

- It is also possible to use SCRs or triacs to “meter” out the ac waveform on a cycle-by-cycle basis.
- For example, turn the waveform on for 5 cycles, then off for 5 cycles.



## Integral Cycle Control



This will deliver adjustable power in a direct way.

## Integral Cycle Control

- This is a simple way to control energy flow to some types of loads.
- It cannot be used for lighting or for motors, but is sufficient for heating.
- If we switch on multi-second time scales, this works for many loads.

## Integral Cycle Control

- The trouble with this is *subharmonics* -- frequency terms *below* both the input and output values.
- For example, a 1-cycle on, 9-cycle off arrangement generates 6 Hz in a 60 Hz application.

## Final Comments

- Notice that ac regulators function by allowing all switches to turn off.
- There are times when no KCL path is required.
- This action is called *discontinuous mode*, since current paths are not always required.
- Ac regulators are a common example.

## Discontinuous Mode

- In other converters, we used large  $L$  and large  $C$  to form near-ideal sources and loads.
- In these cases, KVL and KCL make the switch action definite and pre-determined.
- In DCM, the switch action depends on load.

## How Large?

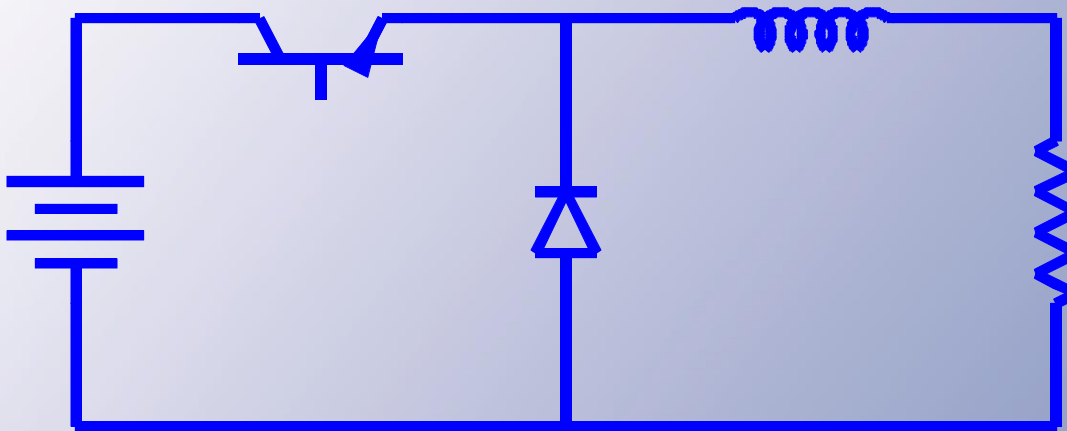
- When is  $L$  “large enough”?
- So far, we have some time constant arguments.
- The time constant should be much larger than the period,  $L/R = \tau \gg T$ .
- Similar arguments for  $C$ .

## Exceptions

- In ac regulators, we prefer small  $L$ , and make sure all switches turn off part of the time.
- In dc-dc converters, light loads imply that sometimes it might be hard to maintain current flow for a given inductor.
- Limit example: buck converter with open-circuit output?

## Buck Converter

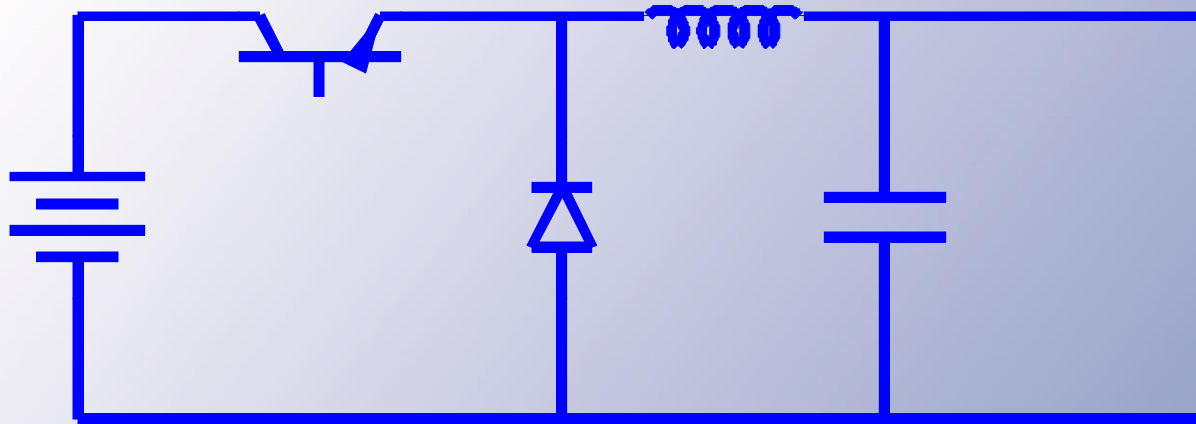
- $V_{\text{out}} = D_1 V_{\text{in}}$



- What if the load is disconnected?



## Open Circuit Output



- When the switch turns on, the capacitor will charge to  $+V_{in}$ , like a classical rectifier.
- It never discharges.  $V_{out} = V_{in}$  at all duty ratio values.
- So what happened to  $D_1 V_{in}$ ?

## Discontinuous Mode

- What if an inductor energy or capacitor energy reaches zero at some point during a cycle?
- If inductor current drops to zero, no current path is needed (KCL).
- The path is discontinuous, and the converter is in discontinuous mode.

## Implications

- In discontinuous mode, the KVL and KCL constraints change.
- We can have intervals with all switches off or all switches on without violations.

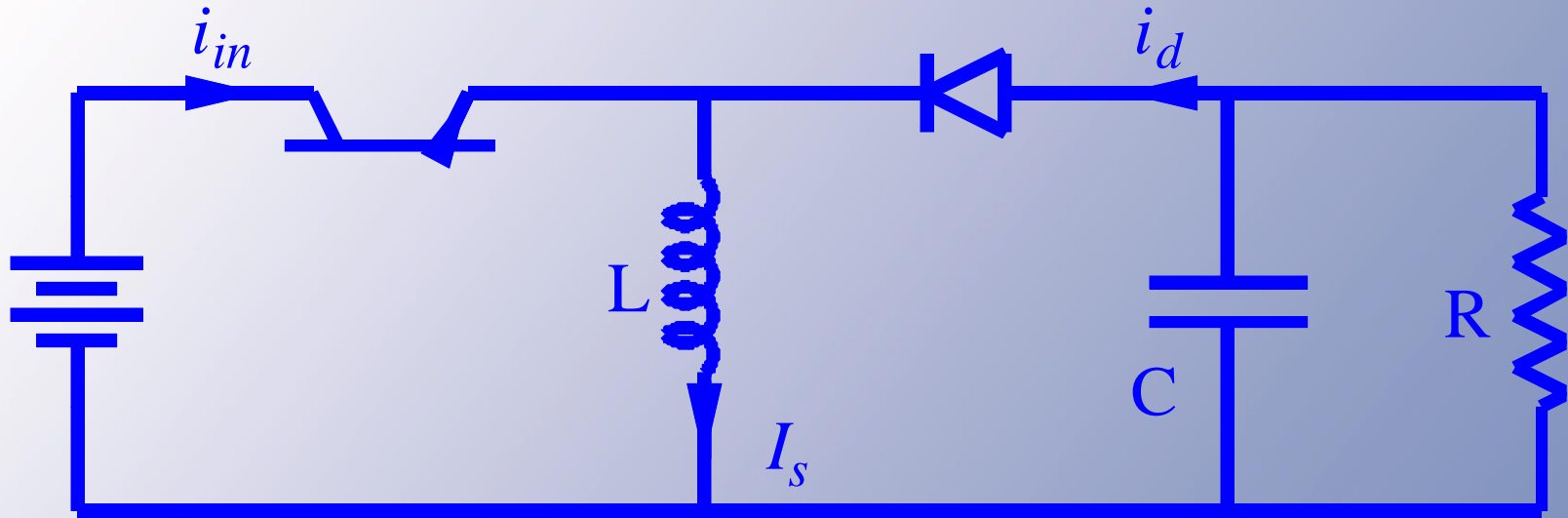
## Some dc-dc Converters

- In a buck, boost, or buck-boost converter, discontinuous mode generally means that  $q_1 + q_2 \leq 1$ .
- The average result is  $D_1 + D_2 < 1$ .
- We have lost one of the equations used previously for analysis – have an extra unknown.

## Buck-Boost Example

- Even so, in any converter,  $\langle v_L \rangle = 0$ .
- This holds true no matter what the inductor value might be.
- In some converters, DCM applies to a capacitor, and there could be times when two switches can be on without violating KVL.
- It is still true that  $\langle i_C \rangle = 0$ .

## Buck-Boost Example



- Low output ripple requires  $C$  large.
- What about the choice of  $L$ ?

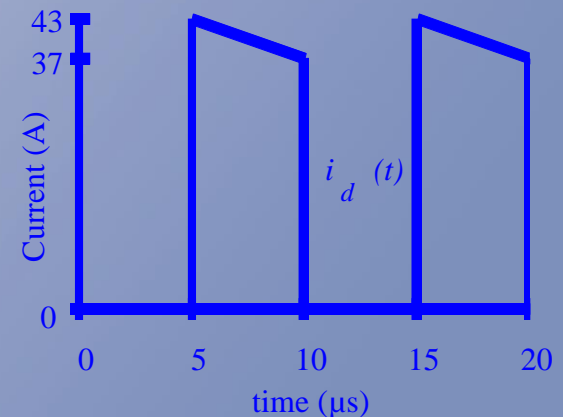
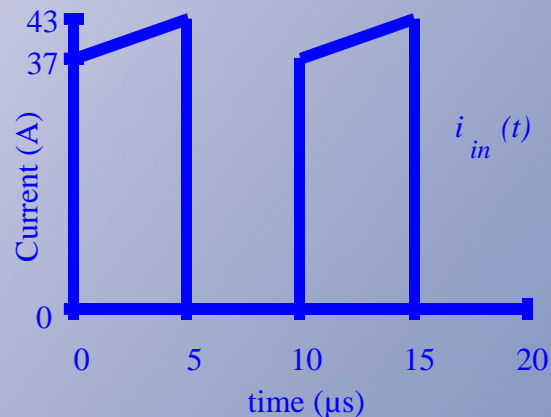
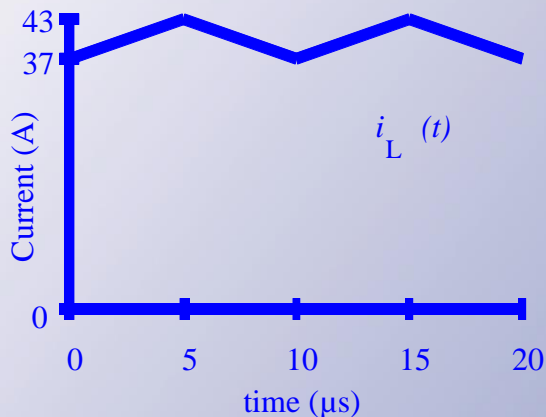
## Buck-Boost Example

- Large  $L \rightarrow I_L \sim \text{constant}$ .
- $v_L = q_1 V_{in} + q_2 V_{out}$
- $\langle v_L \rangle = 0 = D_1 V_{in} + D_2 V_{out}$
- $i_{in} = q_1 I_L, \langle i_{in} \rangle = D_1 I_L$
- Input power  $P_{in} = D_1 I_L V_{in}$
- $i_d = q_2 I_L, \langle i_d \rangle = D_2 I_L = I_{load}$
- Output power  $P_{out} = D_2 I_L V_{out}$
- $I_L > 0, q_1 + q_2 = 1, D_1 + D_2 = 1$ .

Same  
relationships  
as for large  
 $L, C$ .

## Buck-Boost Example

- Now use a smaller  $L$ , but still large enough to maintain positive energy.
- We still have  $D_1 + D_2 = 1$ , and analysis shows that the averages have not changed.





## Buck-Boost Example

- We still have  $v_L = q_1 V_{in} + q_2 V_{out}$ , and  $\langle v_L \rangle = D_1 V_{in} + D_2 V_{out} = 0$ .
- BUT, consider that  $\langle i_{in} \rangle = \langle q_1 i_L \rangle$  might not be equal to  $\langle q_1 \rangle \langle i_L \rangle$ , since  $i_L$  now varies significantly.
- Try one of these waveforms: it turns out that  $\langle i_{in} \rangle$  is still  $D_1 \langle i_L \rangle$ .
- We can check others.

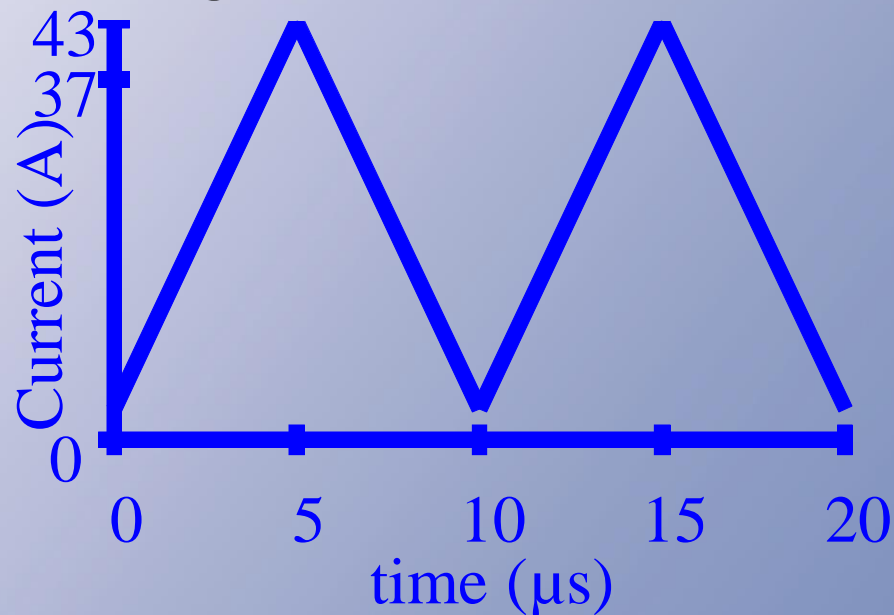


## Buck-Boost Example

- All the original relationships hold with  $\langle i_L \rangle$  taking the place of  $I_L$ .
- The switches still must alternate to provide a current path.

## Buck-Boost Example

- Drop the inductor still more, until the current is just barely above 0.
- We still require  $D_1 + D_2 = 1$  to meet KCL.
- The average relationships still hold!



## Buck-Boost Example

- The relationships continue to be valid until  $i_L$  just touches zero.
- Notice that provided  $L$  is large enough to enforce  $i_L > 0$ , the average relationships still hold.
- As long as  $i_L > 0$ , the average relationships are the same as for  $L \rightarrow \infty$ !

## Buck-Boost Example

- This is the ultimate answer to “how large?”.
- If the inductor is big enough to maintain current flow (so that its energy never drops to 0), the average relationships match those for  $L \rightarrow \infty$ .
- The *smallest* inductor that enforces  $i_L > 0$  is called *critical inductance*.

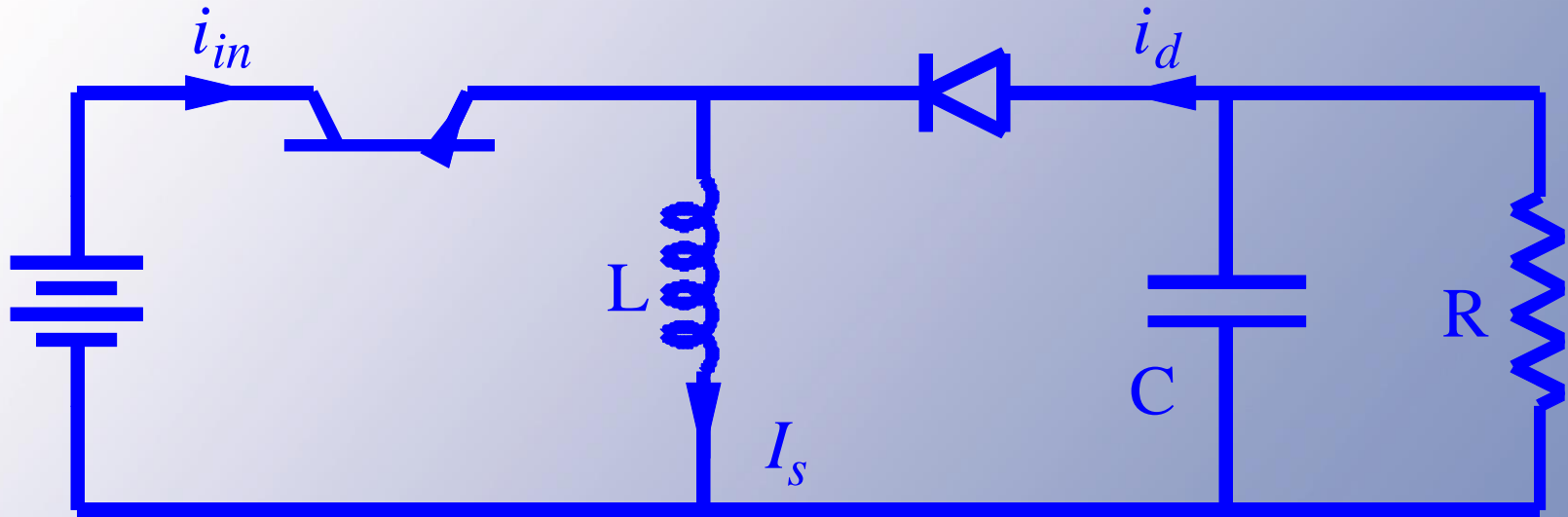
## Critical Inductance

- So, if  $L > L_{\text{crit}}$ , then the inductor is “big enough” to support the ideal relationships.
- Similar ideal: a capacitor that is large enough to maintain its energy above zero will support the ideal relationships.
- The *smallest* capacitor for which  $v_C > 0$  would be the *critical capacitance*.

## Buck-Boost Example

- What if  $L$  is smaller,  $L < L_{\text{crit}}$ ?
- Now, with switch #1 on, the inductor current ramps up linearly.
- When the diode turns on, the current falls to zero.
- Then both switches turn off.

## Buck-Boost Case



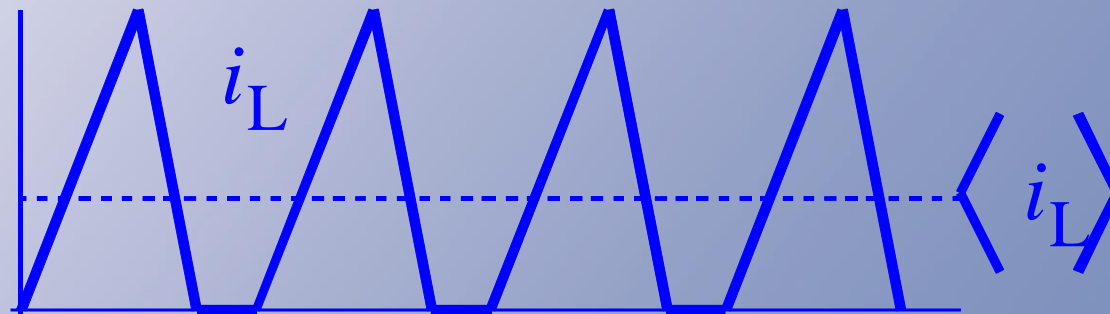
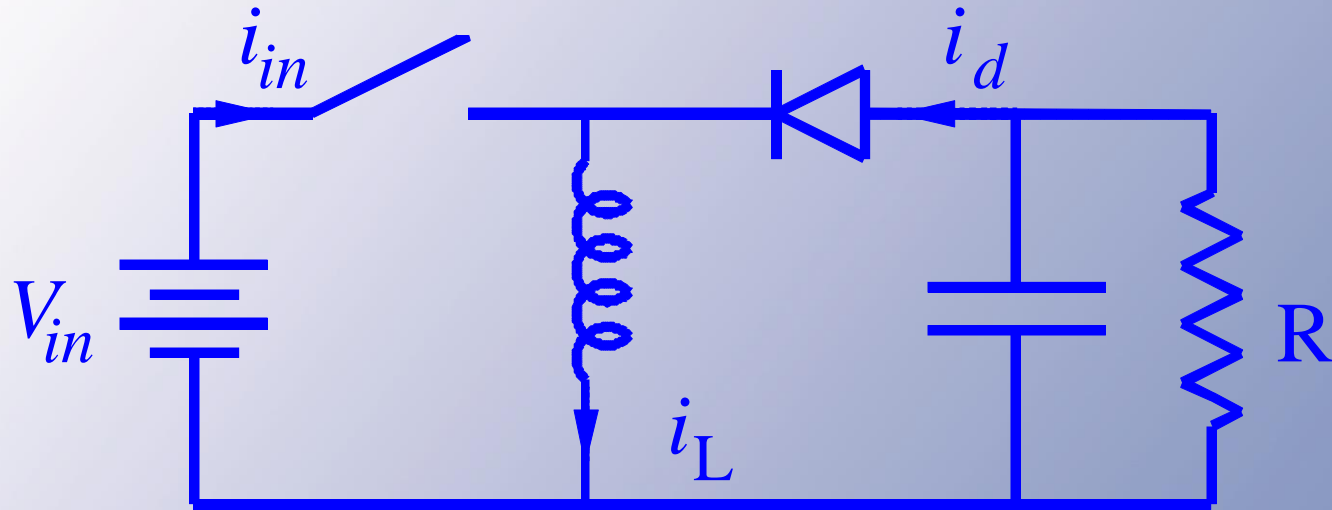
- Low output ripple --> C large.
- The action when  $L < L_{\text{crit}}$ .



## Exploring Relationships

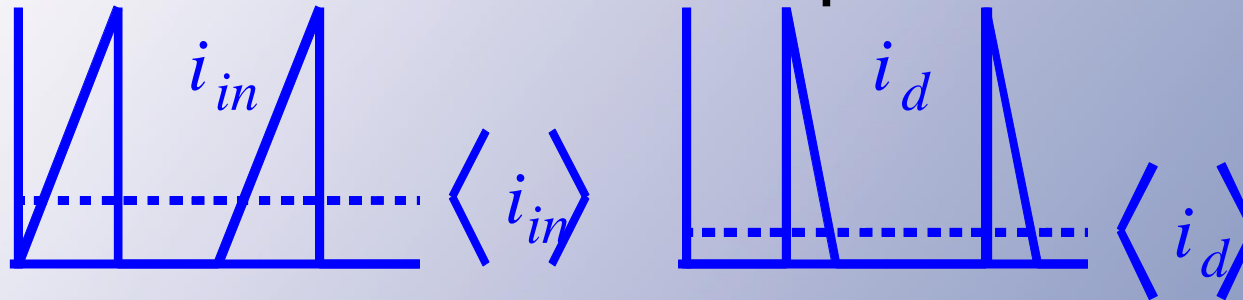
- The buck-boost case.
- The peak inductor current is  $V_{in} D_1 T/L$ . The average input current is  $D_1 i_{L(\text{peak})}/2$ .
- $D_2$  is now unknown.
- But because  $P_{in} = P_{out}$ , we can find  $V_{out}$  in terms of  $V_{in}$ ,  $D_1$ ,  $R$ ,  $T$ ,  $L$ .

## Discontinuous Mode



Now  $\langle i_{in} \rangle \neq D_1 \langle i_L \rangle$ .

## Relationships



$$D_1 V_{in} = -D_2 V_{out}$$

- $\langle i_{in} \rangle = \langle q_1 i_L \rangle$ ,
- The average can be integrated to give  $\langle i_{in} \rangle = 1/2 D_1 i_{L(\text{peak})}$ .
- On the output side,  $\langle i_d \rangle = 1/2 D_2 i_{L\text{peak}}$
- But now, what about  $D_2$ ? Don't know it.

## Relationships

- What is the peak current?
  - Since  $v_L = L di/dt$ , with #1 on we find
  - $i_{\text{peak}} = V_{\text{in}} D_1 T/L$
- The expression  $P_{\text{in}} = P_{\text{out}}$  gives us the second equation needed to complete the analysis.
- $$P_{\text{in}} = \langle V_{\text{in}} i_{\text{in}} \rangle = V_{\text{in}} \langle i_{\text{in}} \rangle$$
$$= V_{\text{in}} (D_1/2)(V_{\text{in}} D_1 T/L)$$

## Output Values

- Now,  $P_{in} = V_{in}^2 D_1^2 T / (2L) = P_{out}$
- $P_{out} = V_{out}^2 / R$
- We have 
$$\frac{V_{out}^2}{R} = V_{in}^2 \frac{D_1^2 T}{2L}$$
- The solution is

$$V_{out} = -D_1 V_{in} \sqrt{\frac{RT}{2L}}$$

when  $D_1 + D_2 < 1$ .

## Output Values

- The output magnitude is higher than would be expected with large  $L$ .
- In the end, the relationships listed in the text are the results.

## Summary of Relationships

- We can analyze any dc-dc converter based on energy conservation.
- Conservation holds even when average relationships become more complicated.

## Why Does It Matter?

- Why not choose sufficient  $L$  or  $C$  to avoid discontinuous mode?
  - At light loads, this is not always possible.
  - There are certain advantages to discontinuous mode.



## Advantages - DCM

- For current, each cycle is the same whether first starting or in steady state. Response is very fast.
- Low L.
- Extra output voltage (is this useful?).

## Disadvantages - DCM

- Output depends on load.
- Tendency toward magnetic saturation.
- Sometimes hard to keep the output voltage constrained.

## Light Load

- In general, there is always a DCM when the load power is low enough.
- This would suggest that we cannot assume continuous mode for design.
- One problem is that ripple is extreme in DCM.

## Ballast Load

- We can choose a minimum load to ensure continuous mode.
- One alternative is a *ballast load*: an extra resistance inside the converter that keeps it out of discontinuous mode.
- We keep it low (e.g. 1% load).

## Definition

- The minimum value of  $L$  that is sufficient to maintain positive current is called the *critical inductance*  $L_{\text{crit}}$ .
- If  $L \geq L_{\text{crit}}$ , current flow is maintained, and average relations hold as before.

## Critical Inductance

- Notice that if  $L = L_{\text{crit}}$ , the inductor current ripple is  $\pm 100\%$  of the average current.
- Now we see the implications: If  $L > L_{\text{crit}}$ , the converter action is pre-determined, and follows basic average relationships.

## Critical Inductance

- Critical inductance is an excellent *design* tool.
  - It is easy to compute.
  - Ripple is  $\pm 100\%$  when  $L = L_{\text{crit}}$ .
- Ripple is inversely proportional.
  - If  $L = 10 L_{\text{crit}}$ , then ripple is  $\pm 10\%$
  - If  $L = 50 L_{\text{crit}}$ , then ripple is  $\pm 2\%$  ...

## For Converters

- Most converters have a range of operation rather than a single point.
- We need to define *critical inductance for a converter*,  $L_{\text{crit}}$ , such that  $i_L > 0$  for *all allowed operating conditions*.



## For Converters

- To ensure continuous mode,  $L \geq L_{\text{crit}}$  (for the converter).
- In contrast, to ensure DCM,  $L$  must be less than the lowest critical value.

## Capacitance

- In most circuits, ripple means the capacitors are far above the critical values.
- Exceptions are converters that have transfer voltage sources.
- The boost-buck is one example.

## Capacitance

- If the capacitor in a boost-buck allows its energy to drop to zero, there are times when both switches can be on without KVL problems.
- Diodes do this automatically.
- Now  $D_1 + D_2 > 1$ .

## Analysis

- The analysis is similar, since energy is conserved.
- For this converter, the output falls if the capacitor is too small for continuous mode.
- We can also have discontinuous modes involving the inductors.



## Concepts

- The concepts of critical inductance and capacitance apply to all types of converters.
- Many applications deliberately use discontinuous mode. It is essential for ac regulators.

## Load Example

- A buck converter for 48 V to 12 V conversion operates at 50 kHz. It has  $L = 100 \mu\text{H}$ ,  $C = 100 \mu\text{F}$ . What is the minimum load to avoid DCM?
- The answer is that load for which  $L$  matches  $L_{\text{crit}}$ .

## Load Example

- Consider just a load current.
- Since the load current matches  $\langle i_L \rangle$ , when  $L = L_{\text{crit}}$ , the peak inductor current is twice  $I_{\text{load}}$ .
- The duty ratio is 25%.
- When #1 is on,  $v_L = 36 \text{ V}$ .

## Load Example

- $36 \text{ V} = L \text{ di/dt} = L_{\text{crit}} (2I_{\text{load}})/(D_1 T)$ .
- With  $L_{\text{crit}} = 100 \text{ uH}$  and  $D_1 T = 5 \text{ us}$ , we have  $I_{\text{load}} > 0.9 \text{ A}$ .
- The load power would need to be at least  $10.8 \text{ W}$ .
- We could add a ballast load to meet this minimum, although this would only be appropriate if  $P_{\text{out}} \gg 10.8 \text{ W}$ .



## Ballast Load Case

- To make  $P_{\text{out}(\text{min})}$  smaller, we have several choices:
  - Larger inductor
  - Faster switching
  - Ballast load
  - Some combination

## Ballast Load Example

- Example: Raise the switching frequency to 100 kHz. The inductance now matches the critical value at 5.4 W load.
- Raise the inductor to 250  $\mu\text{H}$  instead. Now the minimum load is 2.16 W.
- Add a 56  $\Omega$  ballast resistor. This will draw 2.57 W and drops the minimum output power to zero.

## Boost Example

- A boost converter has input in the range of 8 V to 25 V, and an output of 50 V. The allowed load ranges from 0 W to 200 W. The switching frequency is 50 kHz. The output capacitor is large.
- Find  $L_{\text{crit}}$  for this converter.
- Also find the inductance that ensures DCM operation under all conditions.

## Solution

- As stated, the answer is  $L_{\text{crit}} \rightarrow \infty$ , because the minimum load is 0 W.
- We will need to add a ballast load to support a finite inductance.
- There is no single answer, but let us pick 2 W as the ballast load to give a valid L with only 1% extra power loss.

## Solution

- Now we have an input inductor current equal to  $P_{\text{out}}/V_{\text{in}}$ , with a  $P_{\text{out}}$  range of 2 W to 202 W and a  $V_{\text{in}}$  range of 8 V to 25 V.
- We have  $D_2 = V_{\text{in}}/V_{\text{out}}$ .
- If the inductor matches  $L_{\text{crit}}$ , the current ripple is twice the average, and  $\Delta i_L = 2P_{\text{out}}/V_{\text{in}}$ .
- With the transistor on,  $V_{\text{in}} = L \Delta i_L / \Delta t$ .

## Solution

- Since  $\Delta i_L$  is known, we can solve to get  $V_{in} D_1 T / L_{crit} = \Delta i_L = 2P_{out} / V_{in}$ , and  $L_{crit} = V_{in}^2 D_1 T / (2P_{out})$ .
- We need the value that works in all cases – the largest. But  $V_{in}$  and  $D_1$  are not independent:  $D_1 = 1 - D_2 = 1 - V_{in} / V_{out}$ .
- $L_{crit} = V_{in}^2 (1 - V_{in} / V_{out}) T / (2P_{out})$ .
- Highest value at 2 W out and at 33 V, but our input only extends to 25 V.

## Solution

- The end result is 1.56 mH. We should set  $L > 1.6 \text{ mH}$  to avoid DCM with a 2 W ballast load.
- Now, what if we want to ensure DCM instead. Need the lowest value, then set L lower.
- Occurs at 202 W load and 8 V in.
- $L < 2.66 \text{ uH}$  in this case.



## Summary

- Critical inductance: the value just big enough to maintain  $i_L > 0$ . This avoids DCM.
- Critical capacitance: the value just big enough to maintain  $v_C > 0$ . Again avoids DCM.
- Concepts apply to all converters.



## Rectifier

- Consider  $L_{\text{crit}}$  in an m-pulse rectifier.
- When the load is just series R-L, *the critical inductance is zero* under some conditions.
- If we add load filter capacitance, we have to compute  $L_{\text{crit}}$ .
- The ripple is no longer triangular, but we still know what is happening.

## Rectifier

- If  $L = L_{\text{crit}}$ , we know that the current minimum is exactly zero.
- The current also returns to zero at the end of each period.
- The average current must be consistent with the load